



DEPARTMENT OF ECONOMIC SECURITY

Your Partner For A Stronger Arizona

Division of Developmental Disabilities HCBS Provider Meeting

November 2, 2012

Agenda

8:30 – 8:45	Welcome	Sherry Klein
8:45 – 9:45	ALTCS Program Overview Requirements & Utilization Review	Lisa Foti & Debra Peterson
9:45 – 10:00	Break	
10:00 – 11:30	Agency with Choice Employment First Initiative	Jami Snyder (AHCCCS) Linda Tasco
11:30 – 11:45	Break	
11:45 – 12:20	QVA Amendment	Laura Love
12:20 – 12:50	Program Updates Client Intervention Training Direct Service Worker Training Policy Updates HCBS Rate Re-Base Project Group Home Site Registration	Kim Simmons Kim Simmons Kim Simmons Debra Peterson Debra Peterson
12:50 – 1:00	Wrap Up	Sherry Klein



DES Mission

DDD Credo & Vision

DES Mission

The Arizona Department of Economic Security promotes the safety, well-being, and self sufficiency of children, adults and families.

DDD Credo

To support the choices of individuals with developmental disabilities and their families by promoting and providing within communities, flexible, quality, consumer-driven services and supports.

DDD Vision

Individuals with developmental disabilities are valued members of their communities and are involved and participating based on their own choice.



Arizona's Medicaid Waiver

- Arizona Section 1115 Research & Demonstration Project Waiver
- Arizona Health Care Cost Containment System (AHCCCS) is Arizona's single state Medicaid agency and responsible for the Waiver with the Centers for Medicare & Medicaid Services (CMS).
- The Waiver provides continuity of care and cost effectiveness by bundling long-term, acute care and behavioral health services and is intended to demonstrate that home and community based services and a managed care approach are more cost effective than institutionalization.
- Only 2 states (VT; AZ) provide services for individuals with developmental disabilities using a similar model; a third state (MI) uses a different waiver authority to provide managed care. The remaining 47 states provide developmental disability services utilizing various Section 1915 Waivers.
- Applicants must meet medical and financial eligibility criteria determined by AHCCCS.
- DDD may place appropriate limits on a service on the basis of criteria such as medical necessity; or for utilization control, provided the services furnished can be reasonably expected to achieve their purpose.



Responsibilities of the 1115 Waiver

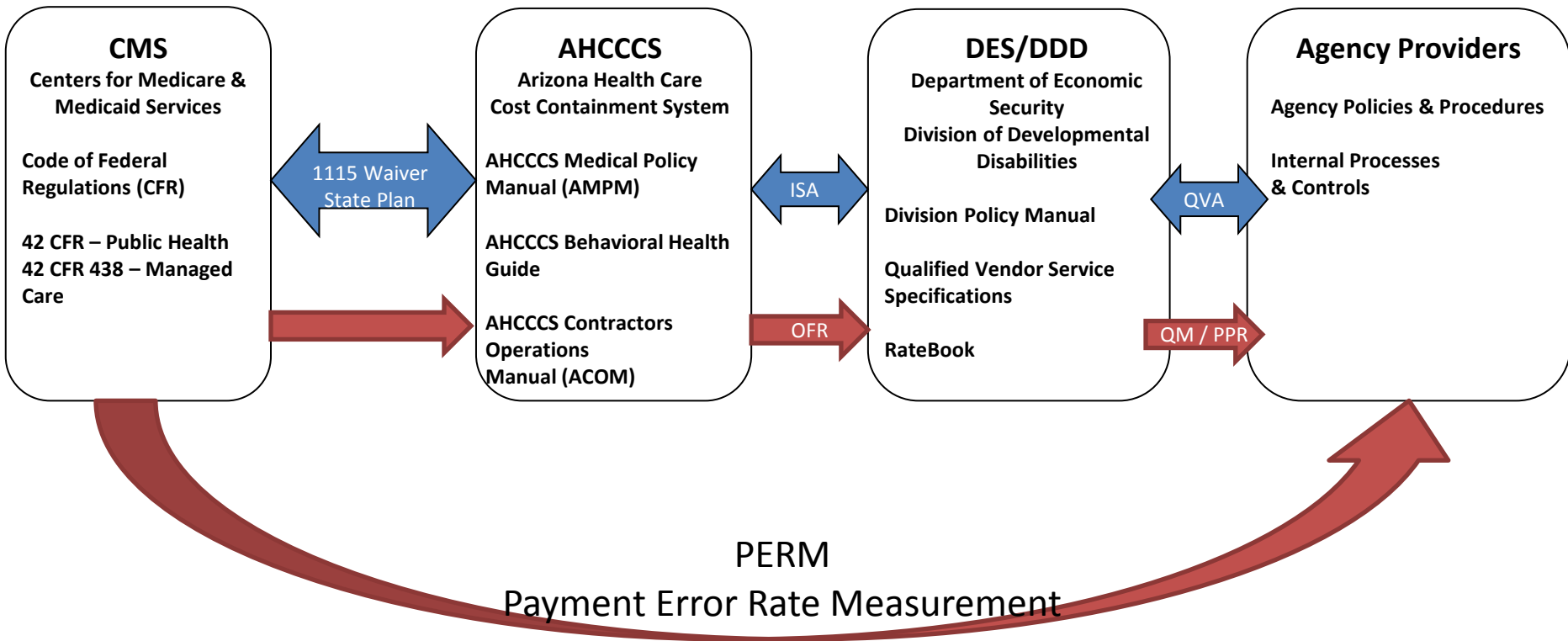
Arizona Long-Term Care Systems (ALTCS) for Developmental Disabilities

- AHCCCS contracts with DES/DDD as an ALTCS Program Contractor or Managed Care Organization (MCO) for people with developmental disabilities pursuant to ARS 36-2940.
- DDD assumes financial risk for service provision through capitation payments.
- DDD is responsible to ensure program integrity by employing strong program controls including case management, quality assurance, quality control, uniform accounting and reporting, and auditing functions.
- DDD can not arbitrarily deny or reduce the amount, duration or scope of a required service solely because of diagnosis, type of illness or condition of the enrollee (due process protections and prevention of member “dumping” for those with higher needs).
- ALTCS has no limit on the number of eligible members. After meeting the financial and functional requirements, eligible members stay in the program and new members enter the system. This results in an increased number of individuals served each year.

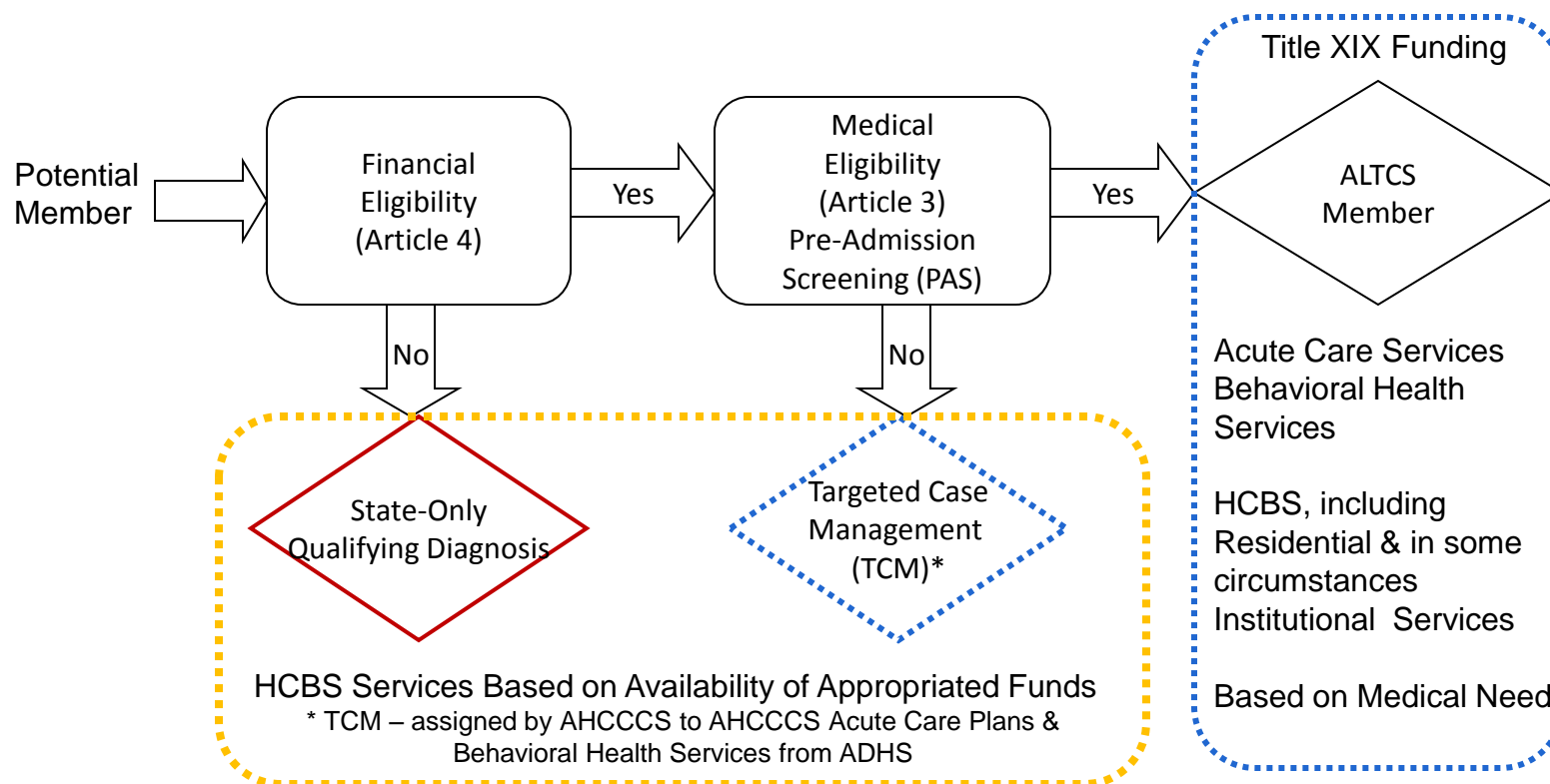


Internal Controls

Guarding Against Waste, Fraud & Abuse

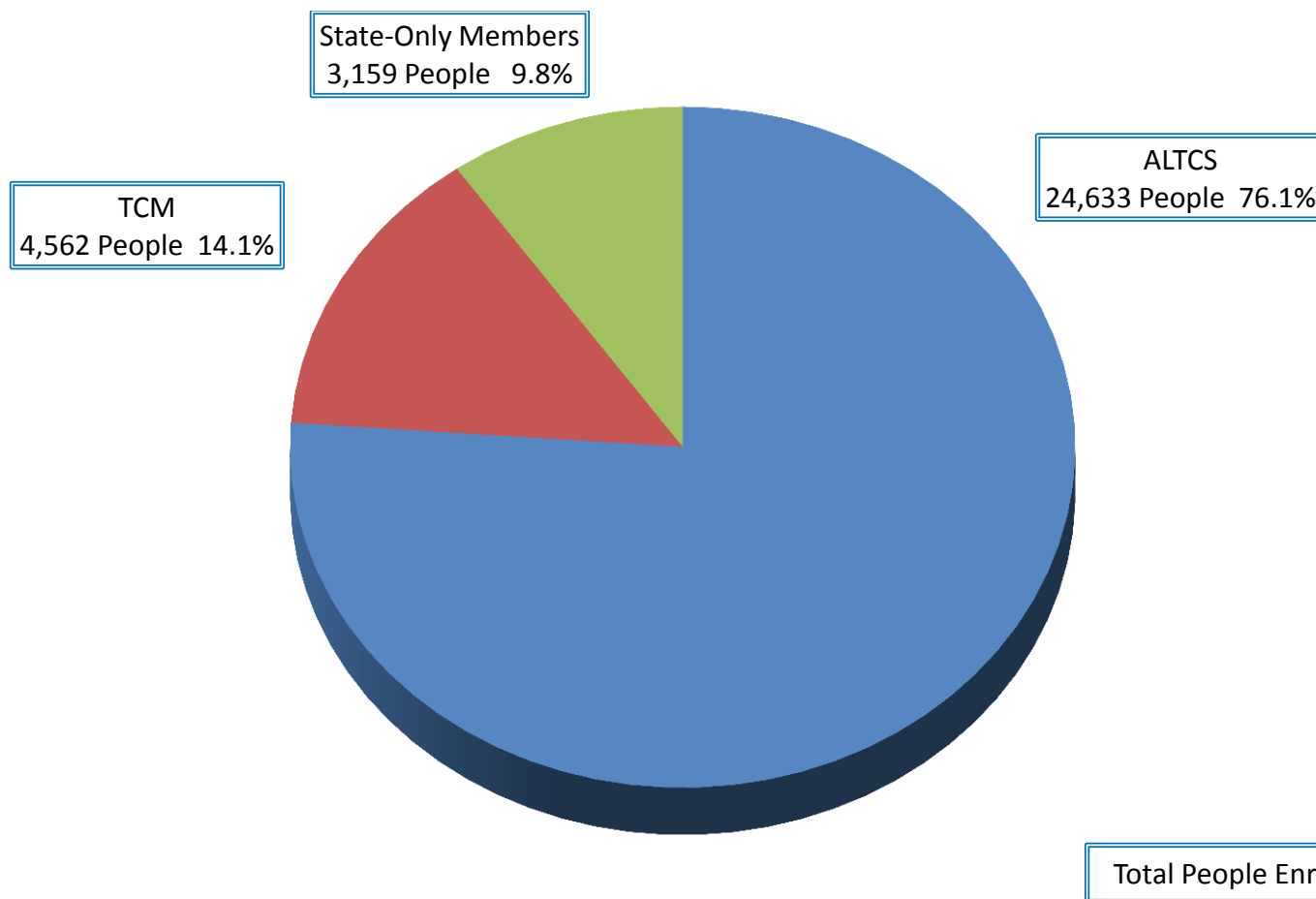


Eligibility Determination



Division of Developmental Disabilities Member Enrollment

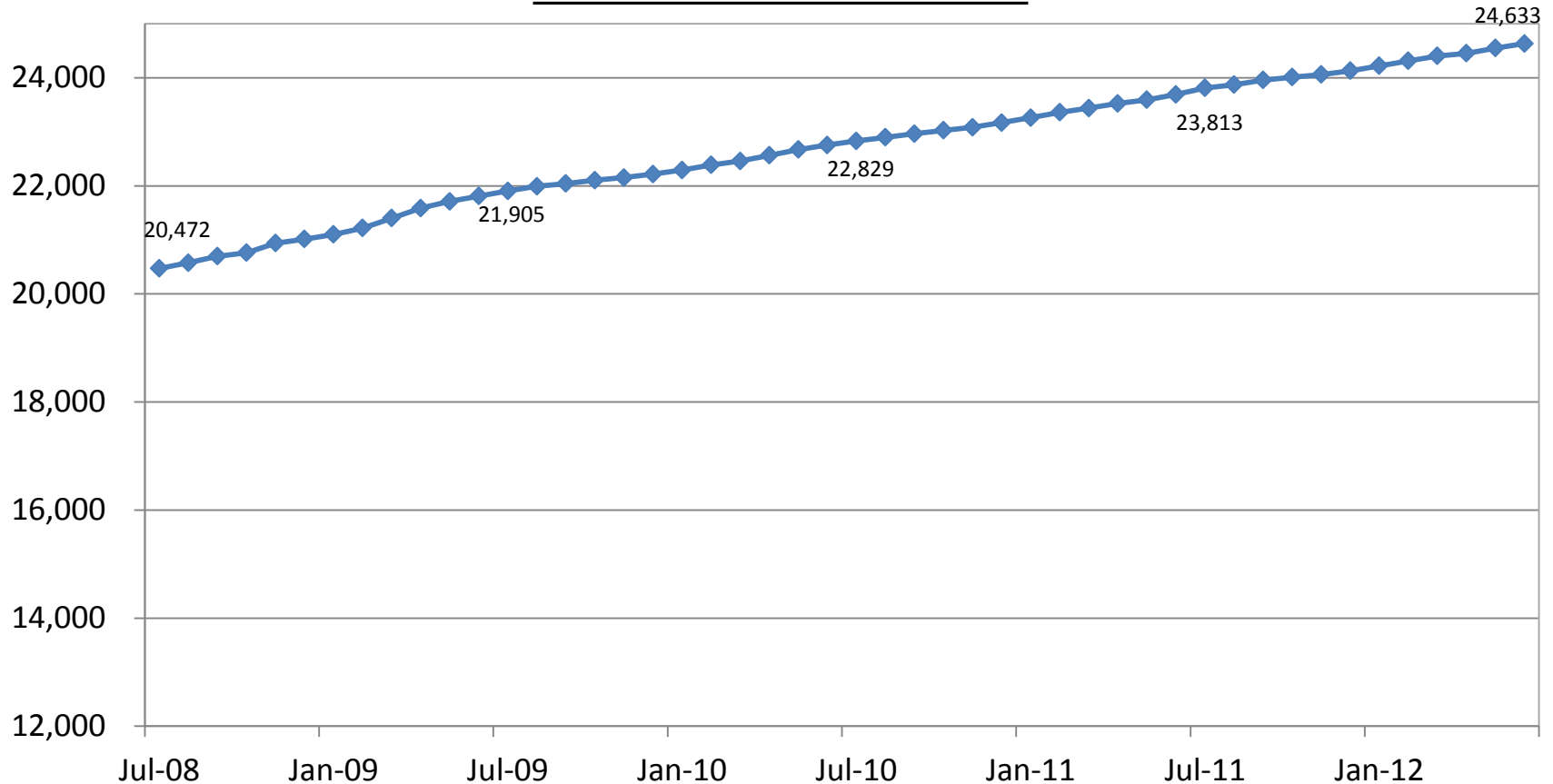
Data as of 6/30/2012



ALTCS Enrollment FY 2009 – FY 2012

Data as of 6/30/2012

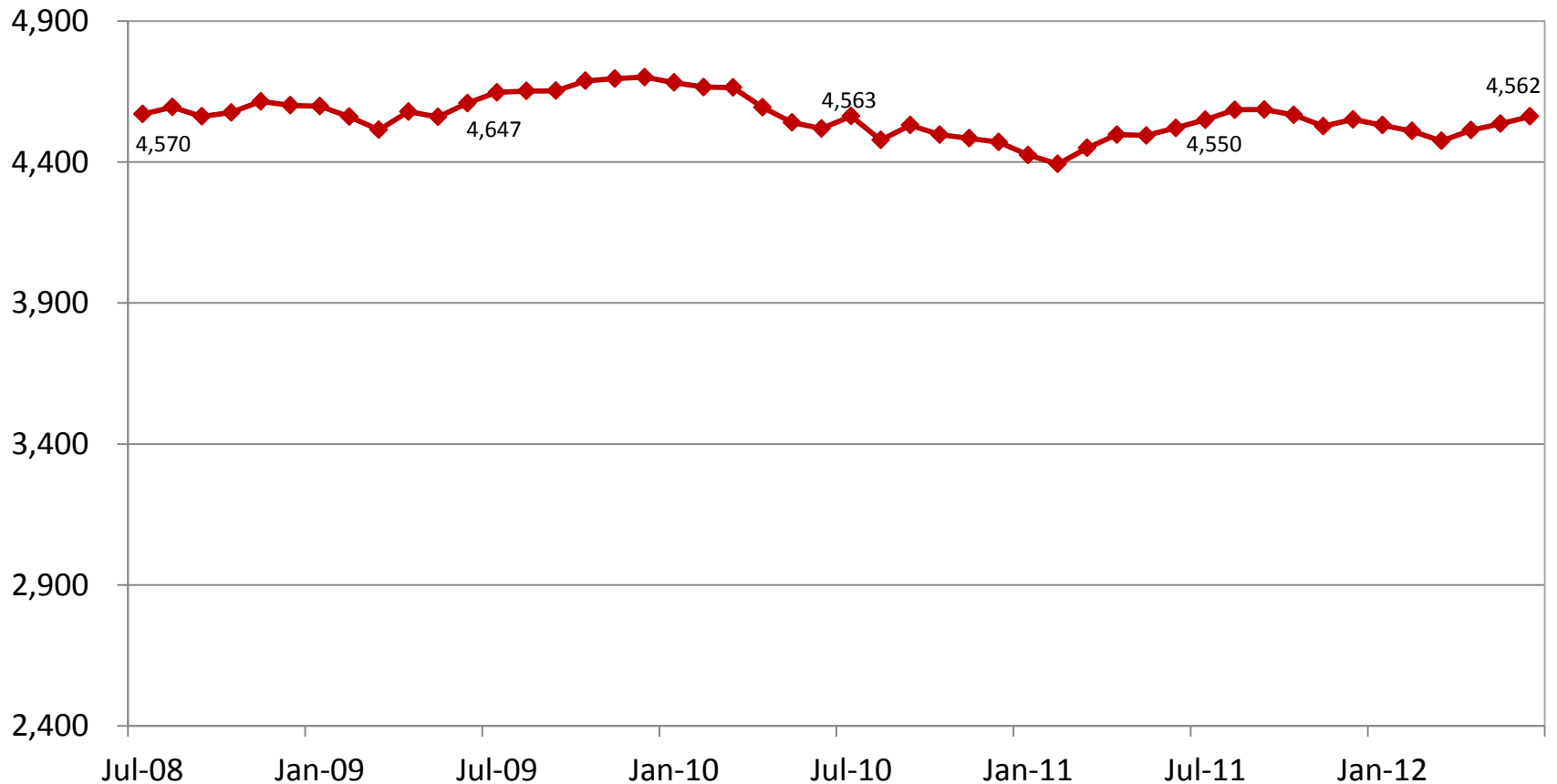
20.3% Increased Enrollment



TCM Enrollment FY 2009 – FY 2012

Data as of 6/30/2012

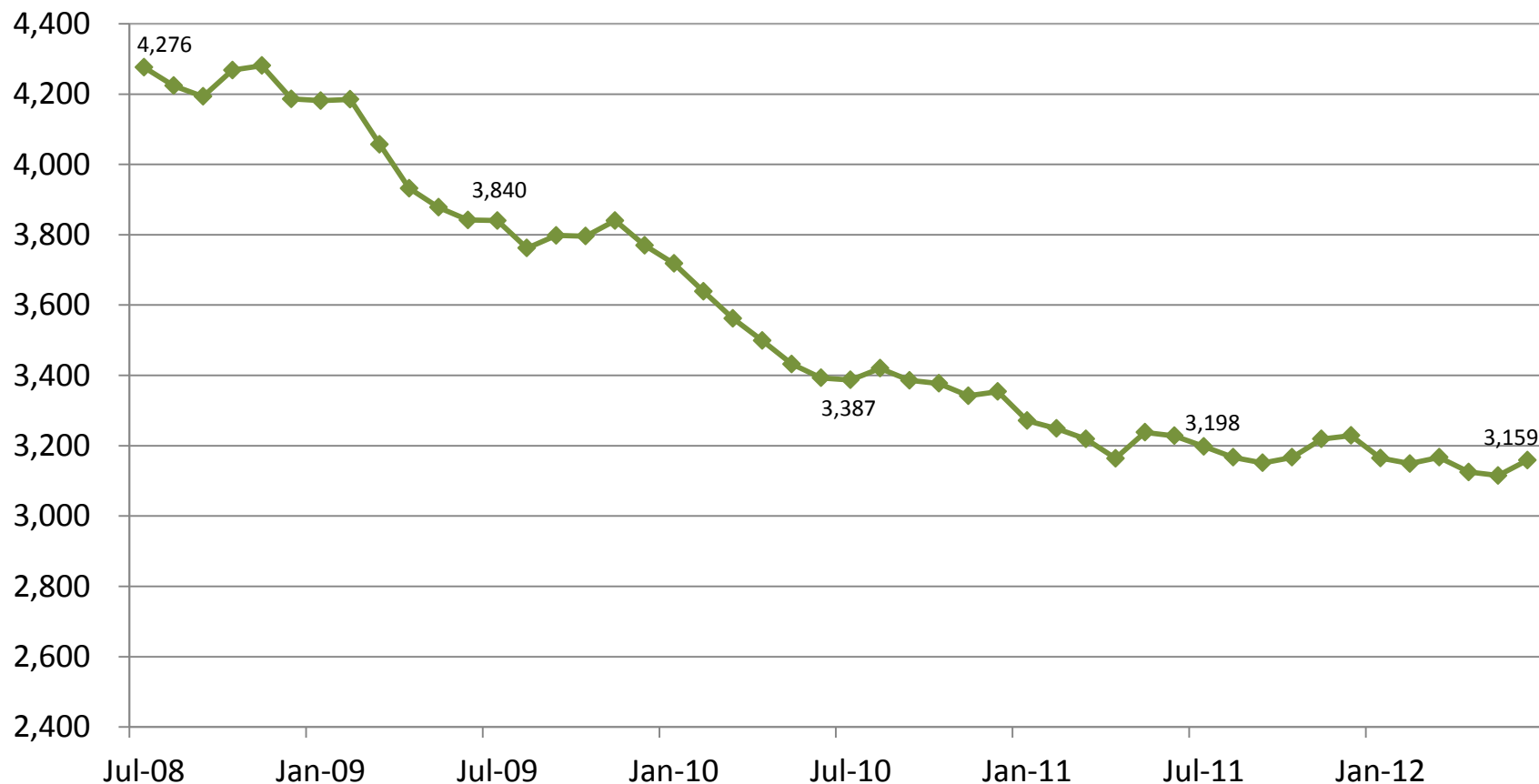
"Level" (-0.2%) Enrollment



State-Only Enrollment FY 2009 – FY 2012

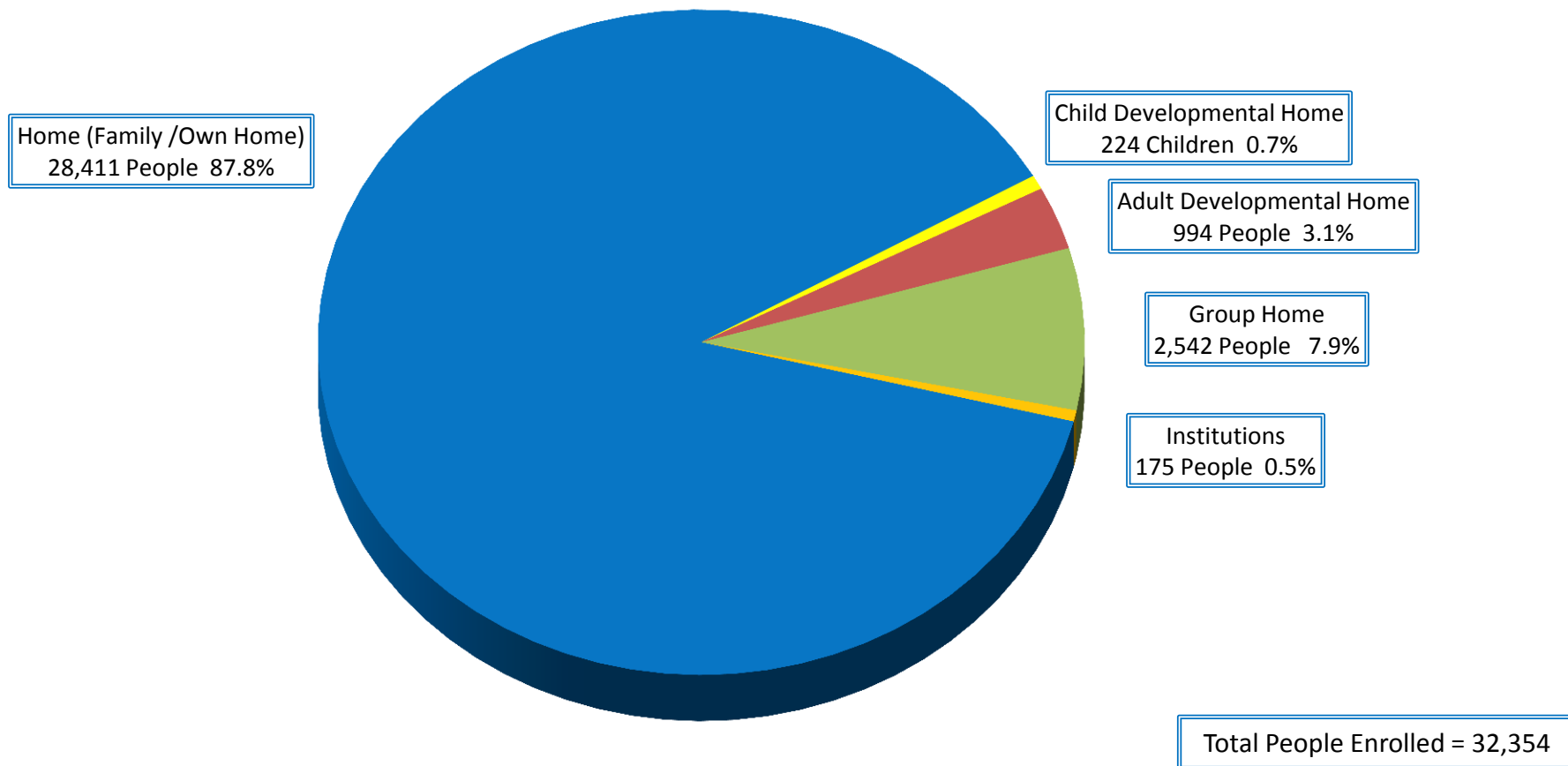
Data as of 6/30/2012

26.1% Declined Enrollment



Types of Residential Setting Offered through DDD Providers & State Operated Facilities

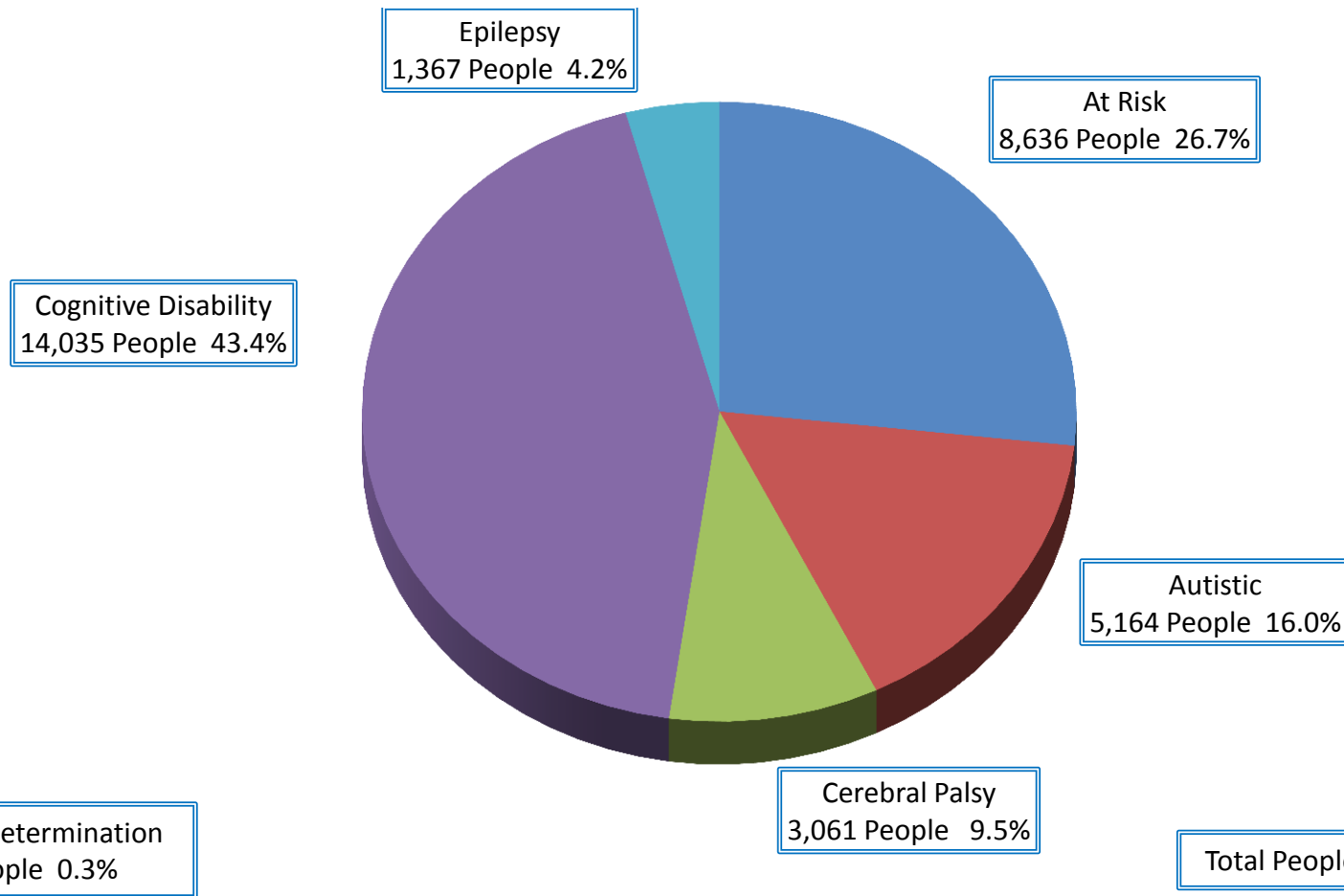
Data as of 6/30/2012



Enrollment by Primary Diagnosis

(Includes State-Only, TCM & ALTCS)

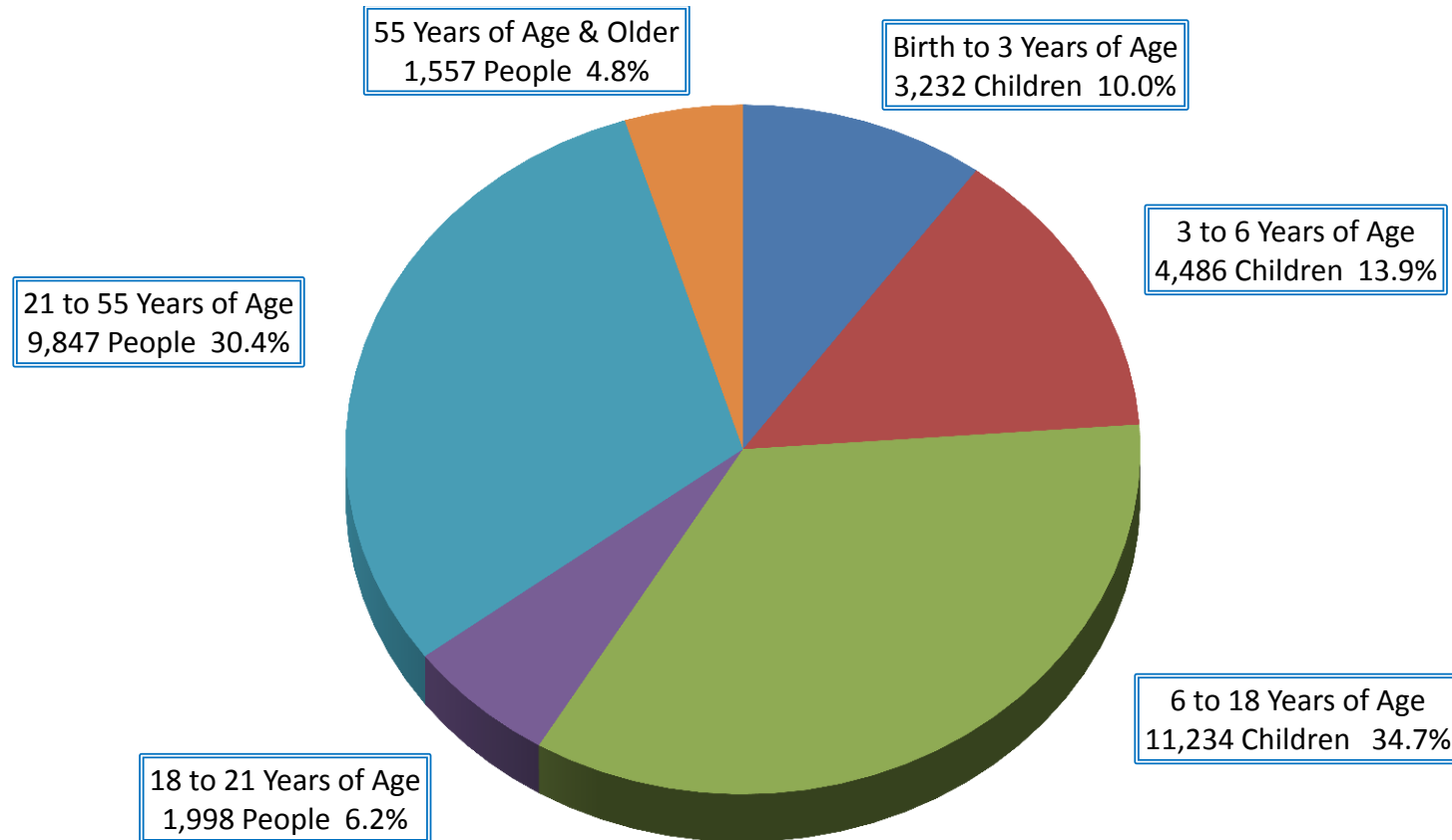
Data as of 6/30/2012



Enrollment by Age Category

(Includes State-Only, TCM & ALTCS)

Data as of 6/30/2012

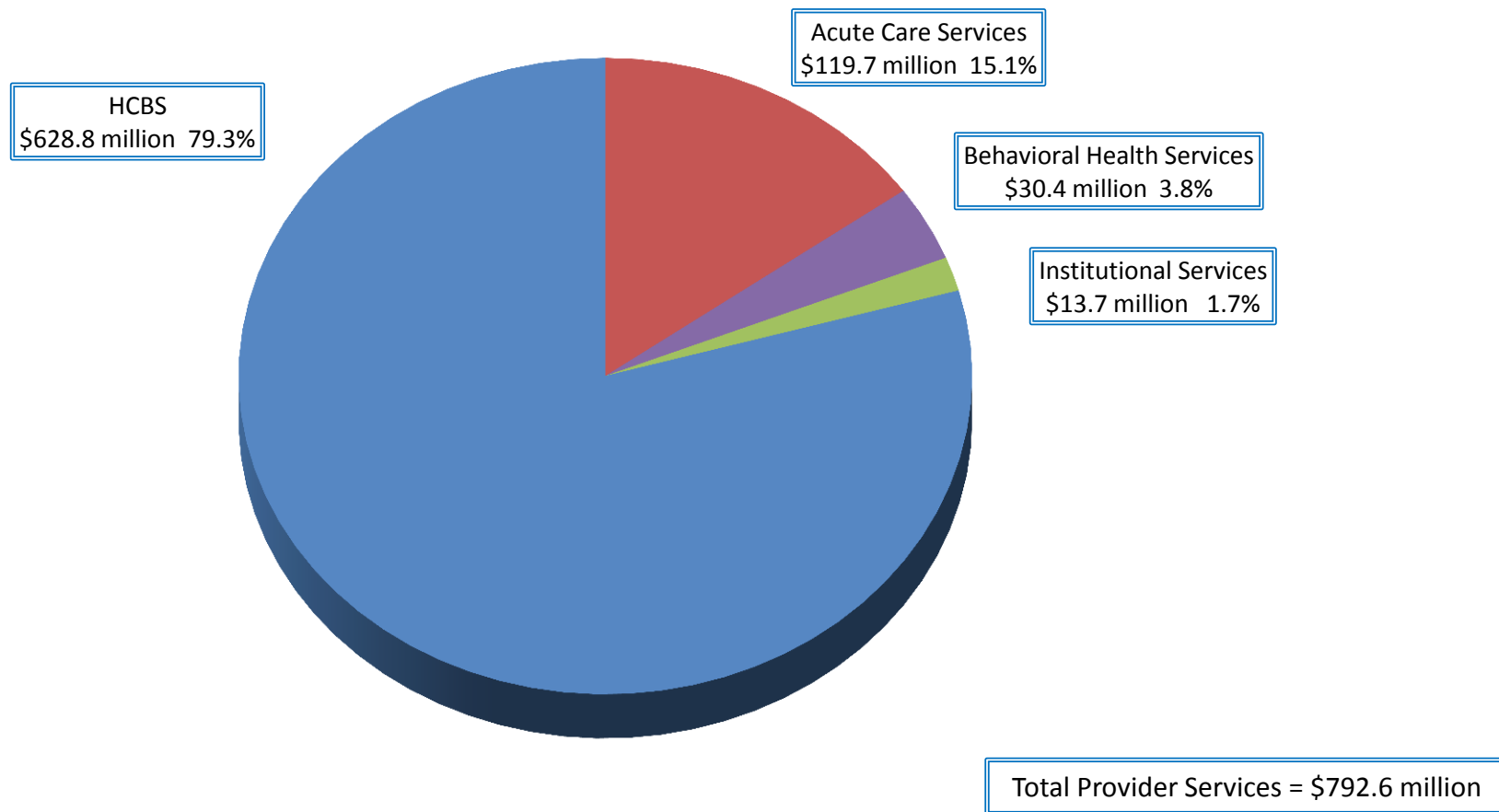


Total People Enrolled = 32,354



FY 2012 Medicaid Categories of Service Delivered by Independent & Agency Providers

* Payment data as of August 31, 2012



Provider Network Overview

Home & Community Based Services (HCBS)

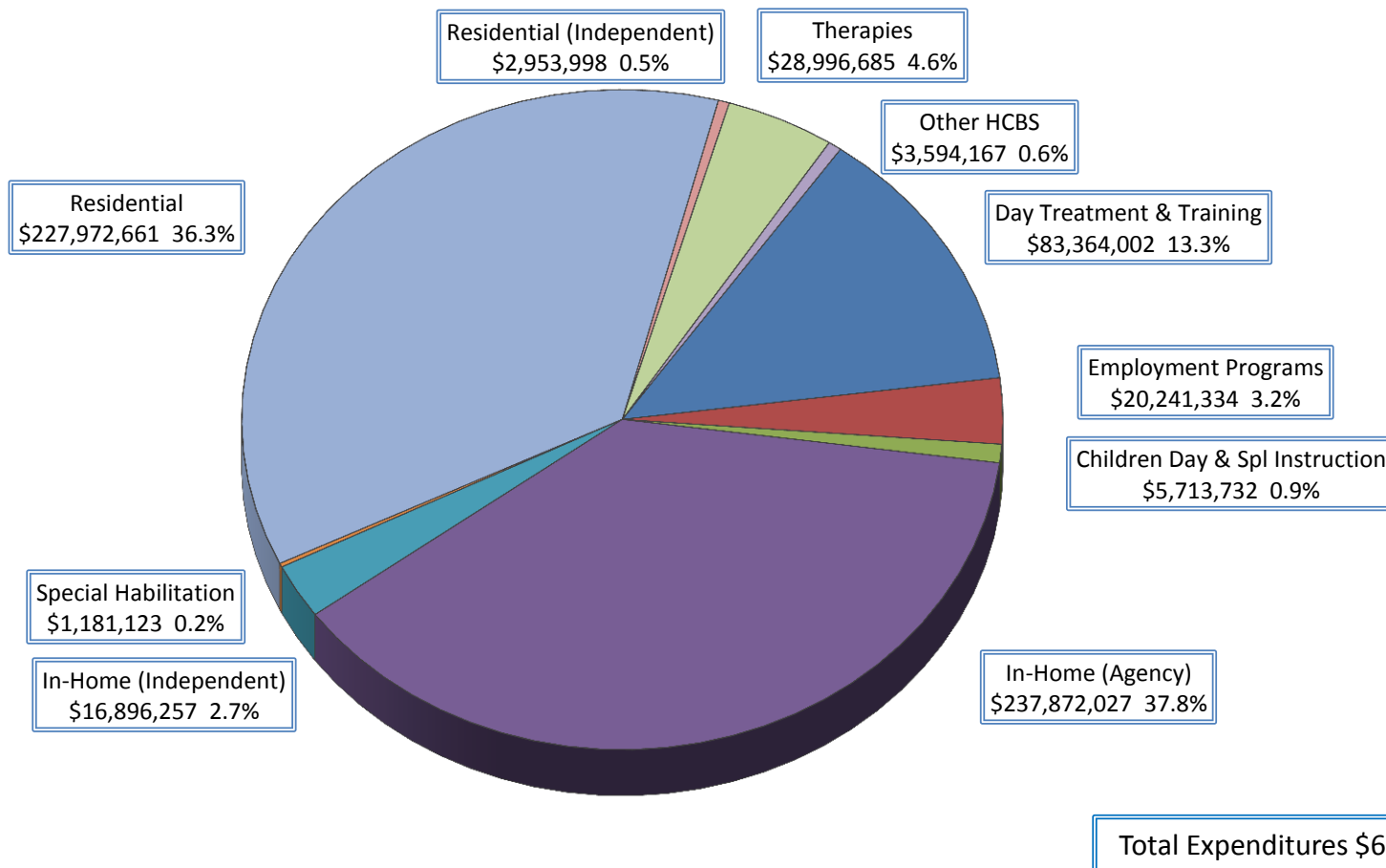
- Qualified Vendor Agreement – ARS 36-557
 - Approximately 600 Agency Providers
 - Open & Continuous
 - Published Rates
- Independent Providers
 - In-Home Services
 - Approximately 1900 Independent Providers
 - Employed by the individual served
 - Fiscal Intermediary provides payroll and tax processing
 - Developmental Homes
- Provider options are designed to increase individual / family choice and ensure a sufficient provider network



FY 2012 ALTCS Provider Home & Community Based Services (HCBS)

Data Source: Analysis Package (pages 14-17)
from Letter Dated 9/19/12 from Burns & Associates, Inc.

* Payment data as of August 31, 2012

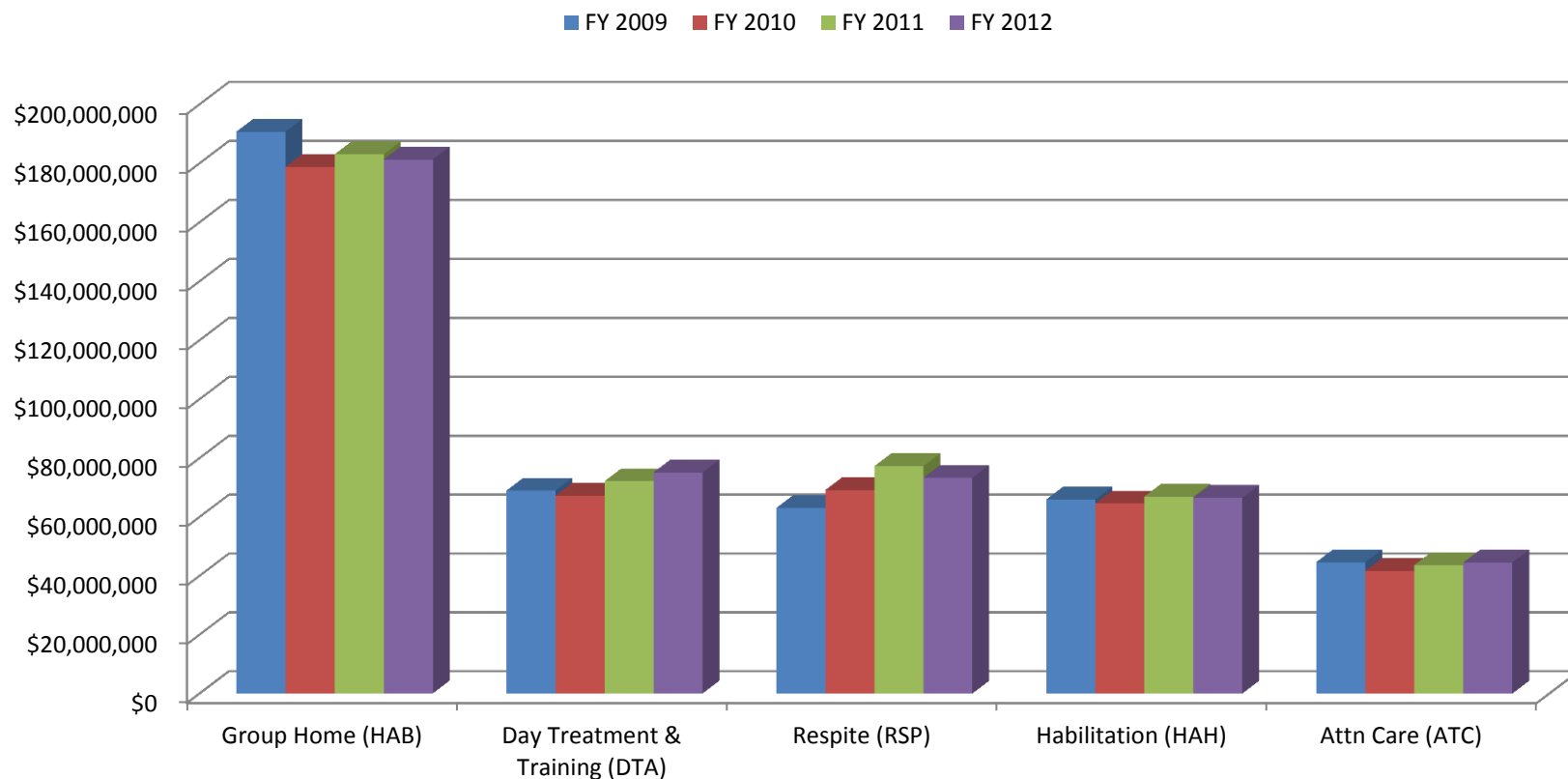


ALTCS Top 5 HCBS Services Provided by Agencies

FY 2009 – FY 2012* Payments

Data Source: Analysis Package (pages 14-17)
from Letter Dated 9/19/12 from Burns & Associates, Inc.

* Payment data as of August 31, 2012
Data does not include Independent Providers



FY 2009	\$190,718,640	\$68,904,714	\$62,992,916	\$65,866,022	\$44,569,665
FY 2010	178,766,389	67,206,528	69,097,183	64,524,549	41,698,034
FY 2011	183,027,428	72,102,399	77,219,766	66,889,077	43,626,287
FY 2012	181,248,533	75,117,879	73,277,364	66,464,762	44,520,928



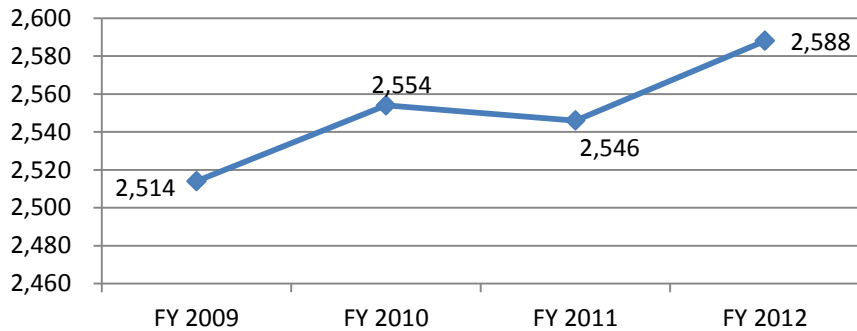
Group Home (HAB)

FY 2009 – FY 2012* Utilization

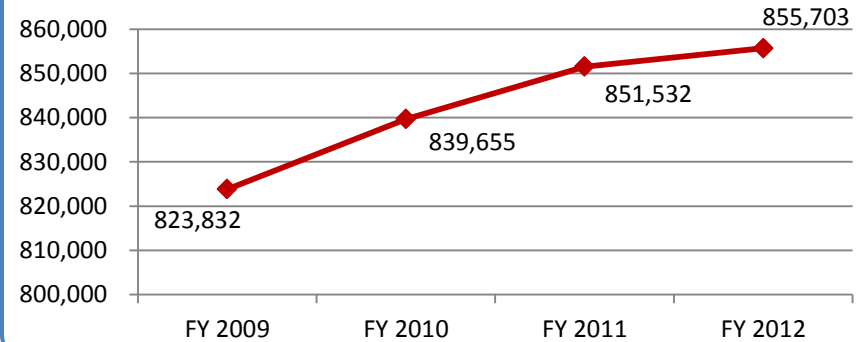
Data Source: Analysis Package (HABA; pages 14-17)
from Letter Dated 9/19/12 from Burns & Associates, Inc.

* Payment data as of August 31, 2012

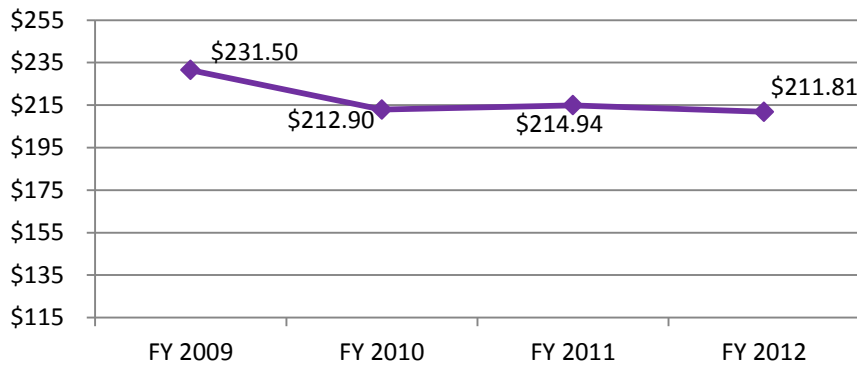
People Served
2.94% Increase



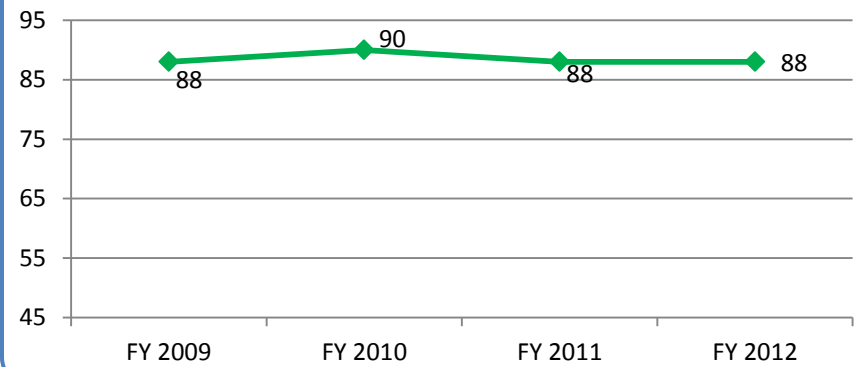
Total Units Provided
3.87% Increase



Average Daily Rate
8.51% Decrease



Number of Providers
0.0% Increase

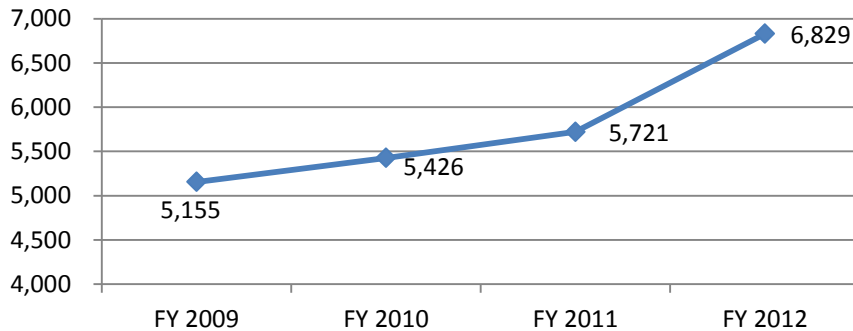


Day Treatment & Training (DTA) FY 2009 – FY 2012* Utilization

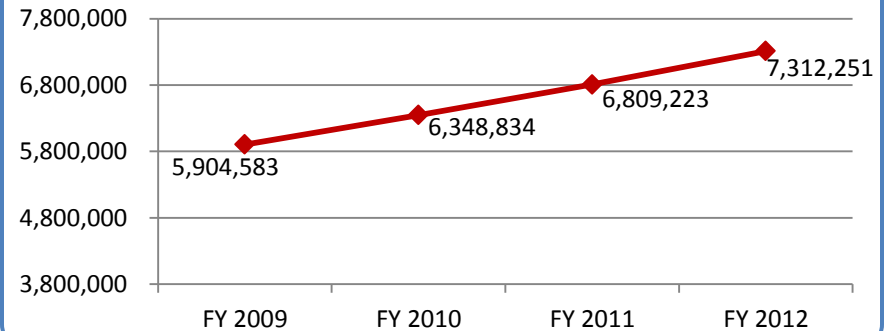
Data Source: Analysis Package (DTAA/DTAI/DTAR; pages 14-17)
from Letter Dated 9/19/12 from Burns & Associates, Inc.

* Payment data as of August 31, 2012

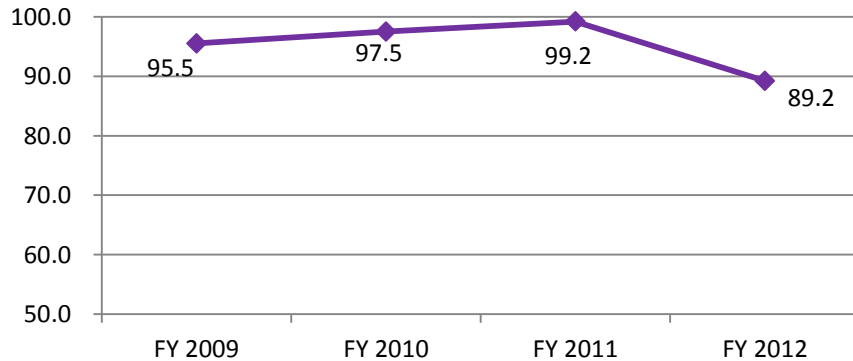
People Served
32.47% Increase



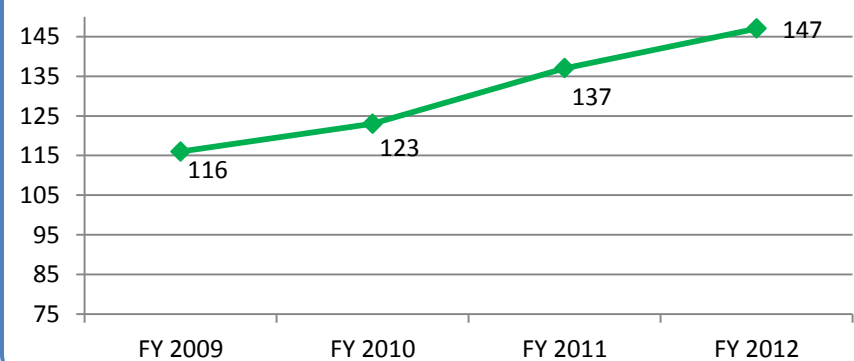
Total Units Provided
23.84% Increase



Units Used / Person / Month
6.52% Decrease



Number of Providers
26.72% Increase



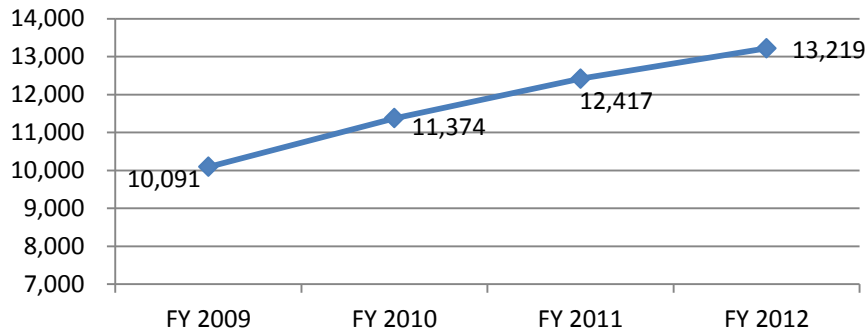
Respite, Hourly (RSP)

FY 2009 – FY 2012* Utilization

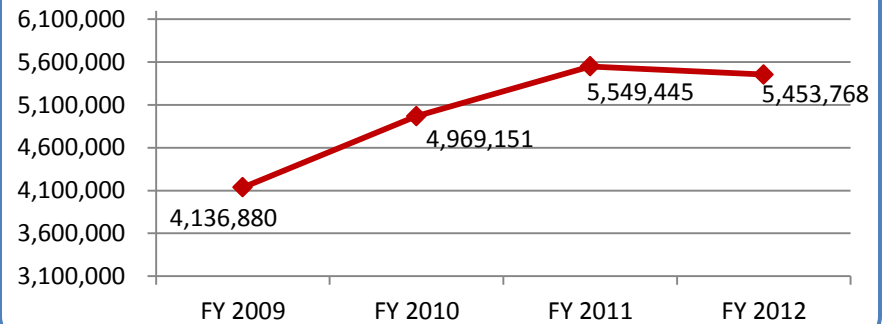
Data Source: Analysis Package (RSPR; pages 14-17)
from Letter Dated 9/19/12 from Burns & Associates, Inc.

* Payment data as of August 31, 2012
Data does not include Independent Providers

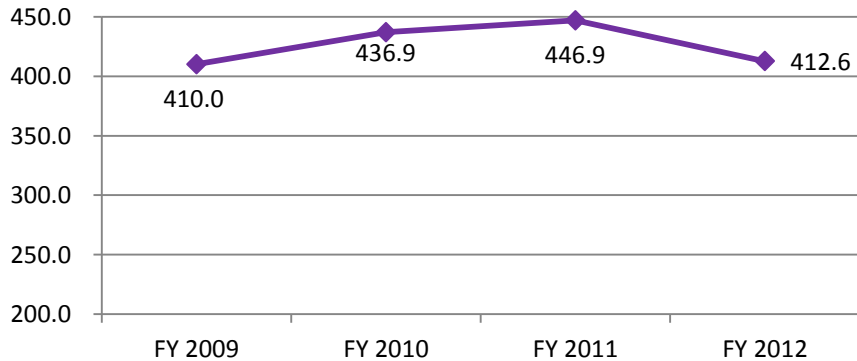
People Served
31.00% Increase



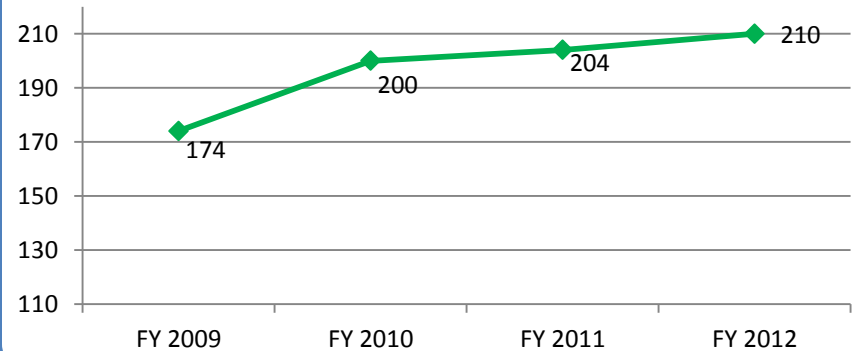
Total Units Provided
31.83% Increase



Units Used / Person
0.64% Increase



Number of Providers
20.69% Increase

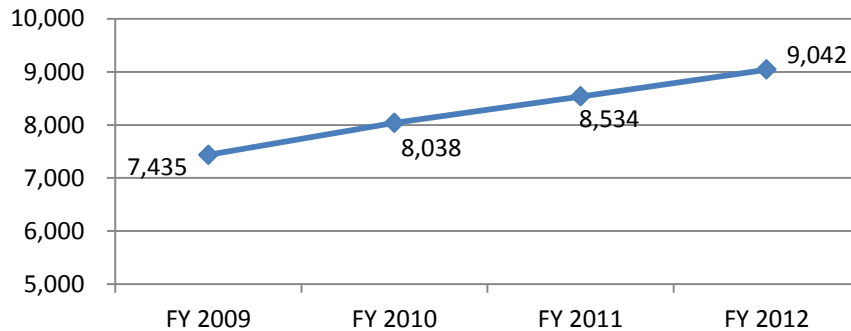


Habilitation, Hourly (HAH) FY 2009 – FY 2012* Utilization

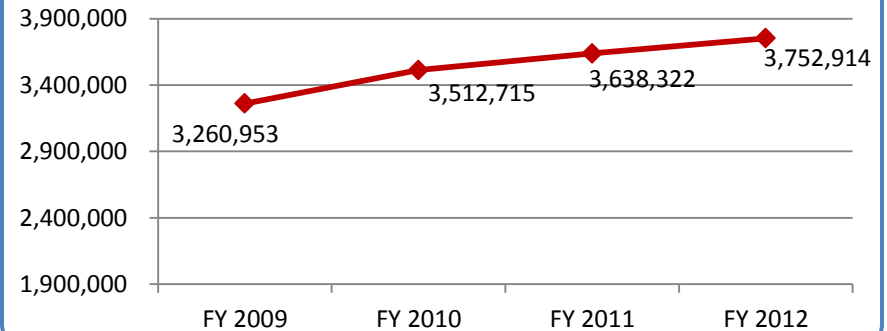
Data Source: Analysis Package (HAHR; pages 14-17)
from Letter Dated 9/19/12 from Burns & Associates, Inc.

* Payment data as of August 31, 2012
Data does not include Independent Providers

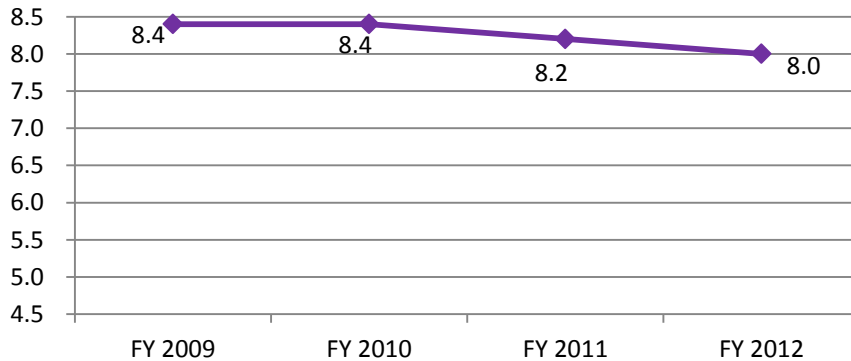
People Served
21.61% Increase



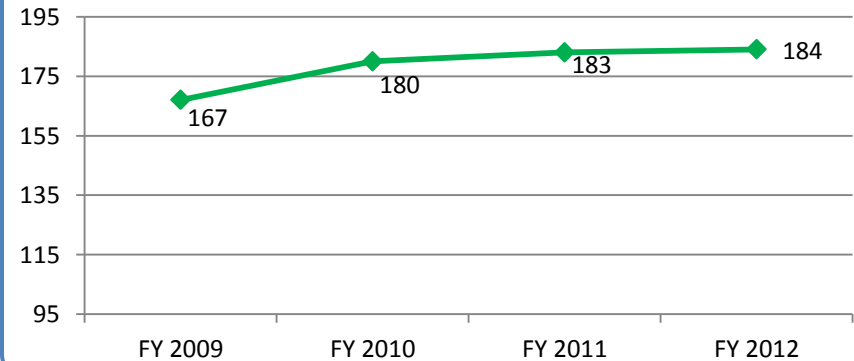
Total Units Provided
15.09% Increase



Units Used / Person / Week
4.76% Decrease



Number of Providers
10.18% Increase

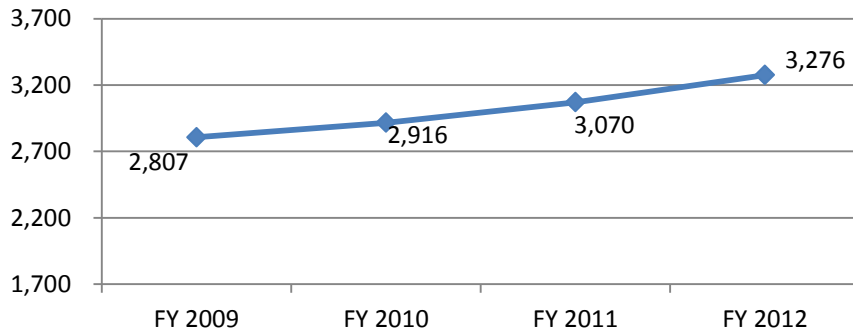


Attendant Care, Hourly (ATC) FY 2009 – FY 2012* Utilization

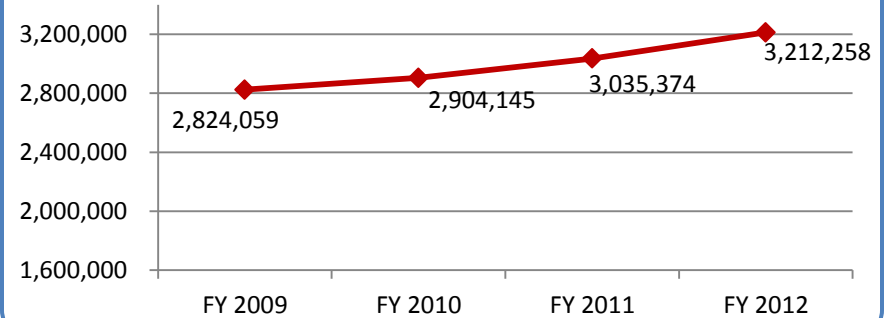
Data Source: Analysis Package (ATTR; pages 14-17)
from Letter Dated 9/19/12 from Burns & Associates, Inc.

* Payment data as of August 31, 2012
Data does not include Independent Providers

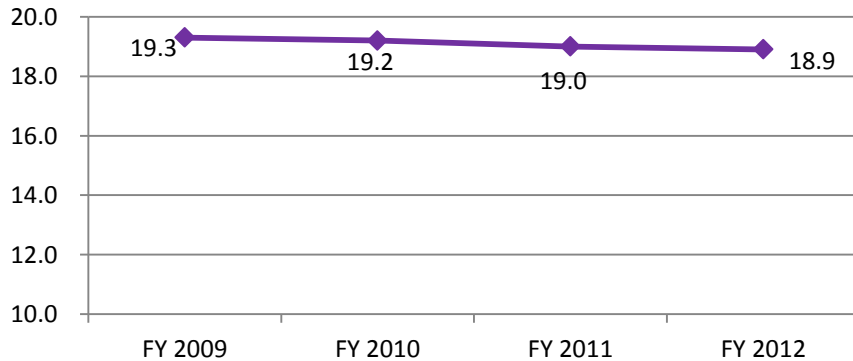
People Served
16.71% Increase



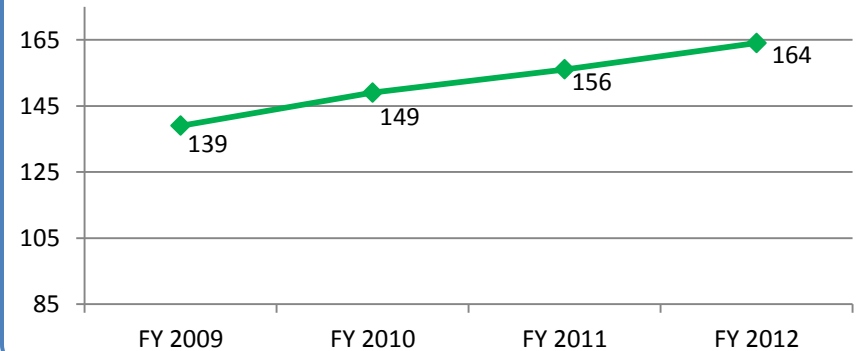
Total Units Provided
13.75% Increase



Units Used / Person / Week
2.07% Decrease



Number of Providers
17.99% Increase

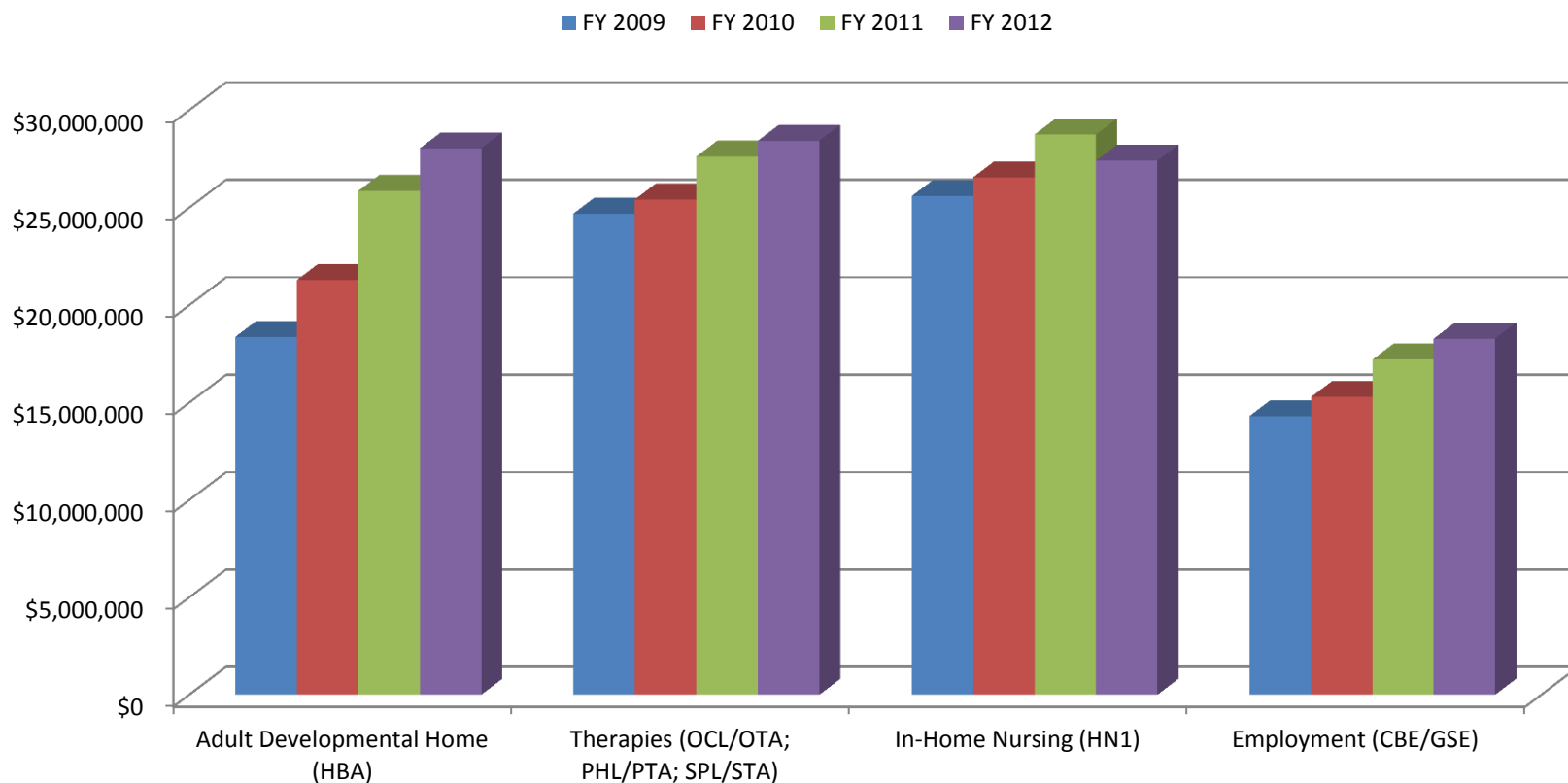


Additional ALTCS HCBS Services Provided by Agencies

FY 2009 – FY 2012* Payments

Data Source: Analysis Package (pages 14-17)
from Letter Dated 9/19/12 from Burns & Associates, Inc.

* Payment data as of August 31, 2012
Data does not include Independent Providers



FY 2009	\$18,378,894	\$24,702,855	\$25,589,258	\$14,314,500
FY 2010	21,286,813	25,426,119	26,554,971	15,288,485
FY 2011	25,860,605	27,626,657	28,751,824	17,206,250
FY 2012	28,042,127	28,433,374	27,406,043	18,288,379



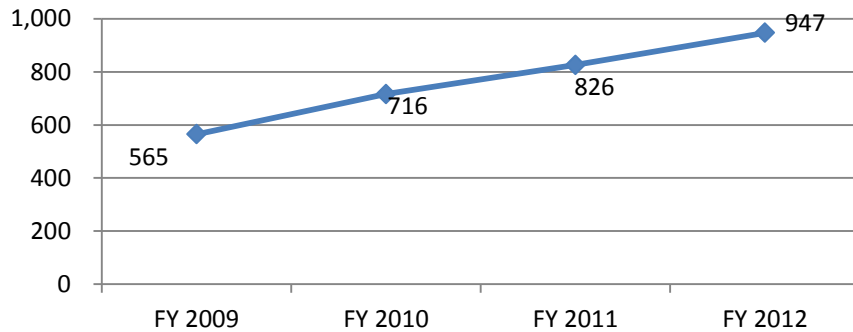
Adult Developmental Homes (HBA)

FY 2009 – FY 2012* Utilization

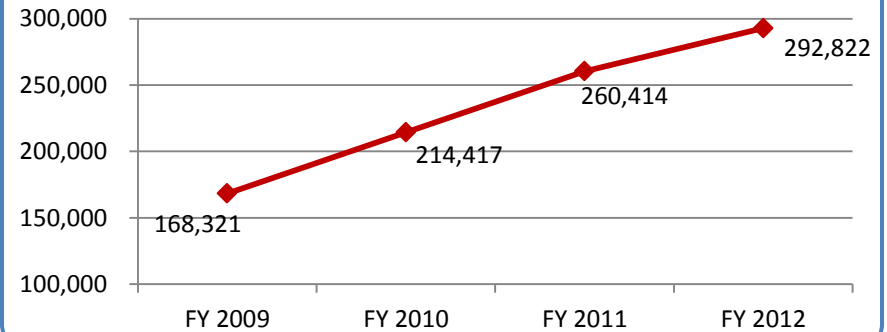
Data Source: Analysis Package (DHVA; pages 14-17)
from Letter Dated 9/19/12 from Burns & Associates, Inc.

Payment data as of August 31, 2012

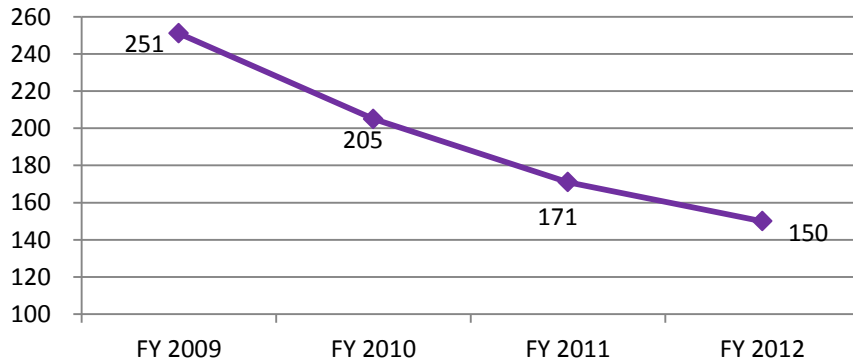
People Served – Agency
67.61% Increase



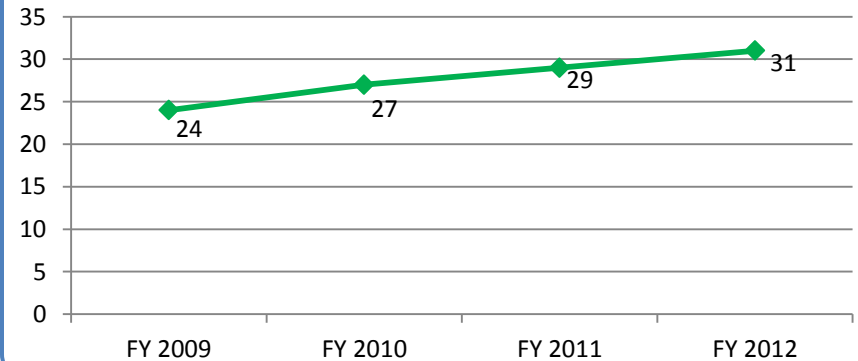
Total Units Provided
73.97% Increase



People Served – Independent (HAA)
40.24% Decrease



Number of Providers
29.17% Increase



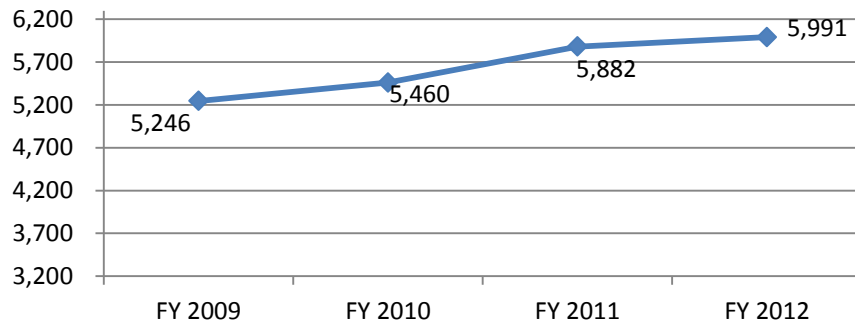
Occupational Therapy (OCL/OTA)

FY 2009 – FY 2012* Utilization

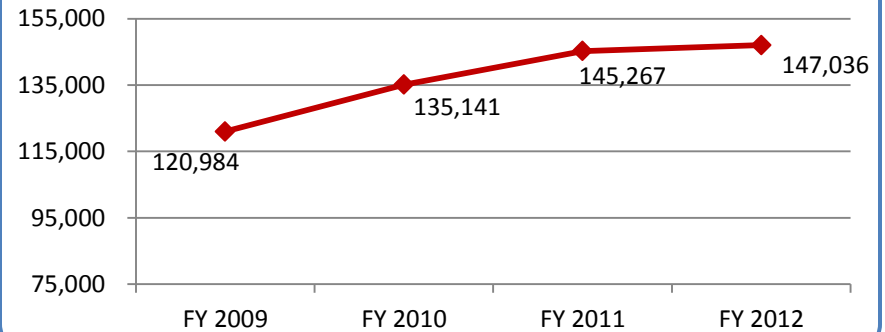
Data Source: Analysis Package (OCCA; pages 14-17)
from Letter Dated 9/19/12 from Burns & Associates, Inc.

Payment data as of August 31, 2012

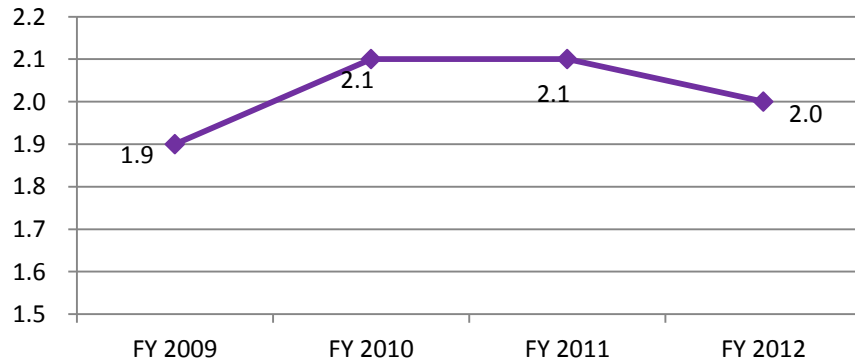
People Served
14.2% Increase



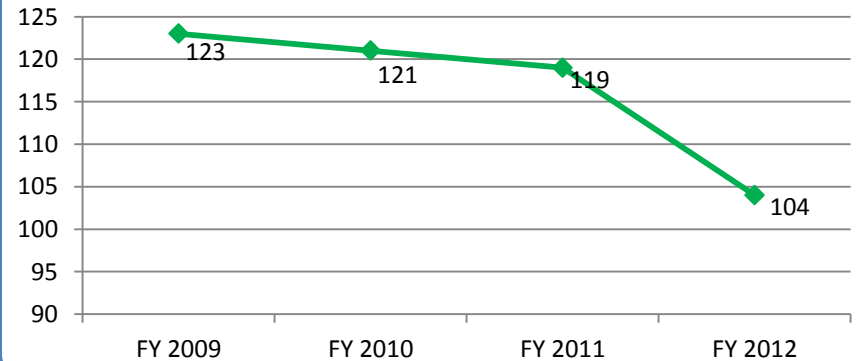
Total Units Provided
21.53% Increase



Units Used / Person / Month
5.26% Increase



Number of Providers
15.45% Decrease



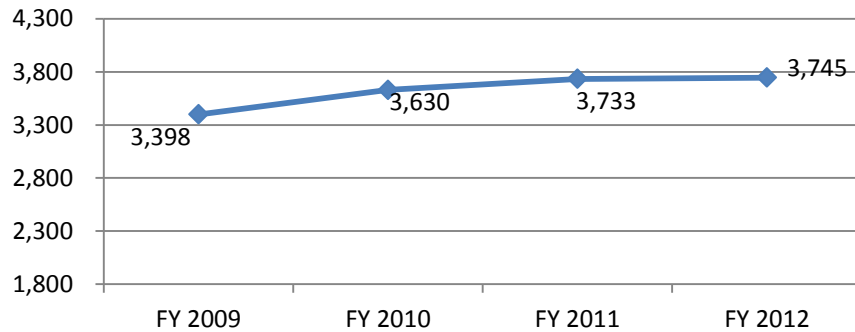
Physical Therapy (PHL/PTA)

FY 2009 – FY 2012* Utilization

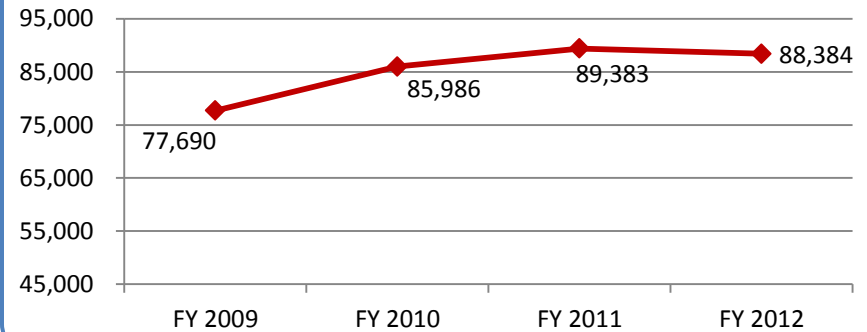
Data Source: Analysis Package (PHYA; pages 14-17)
from Letter Dated 9/19/12 from Burns & Associates, Inc.

Payment data as of August 31, 2012

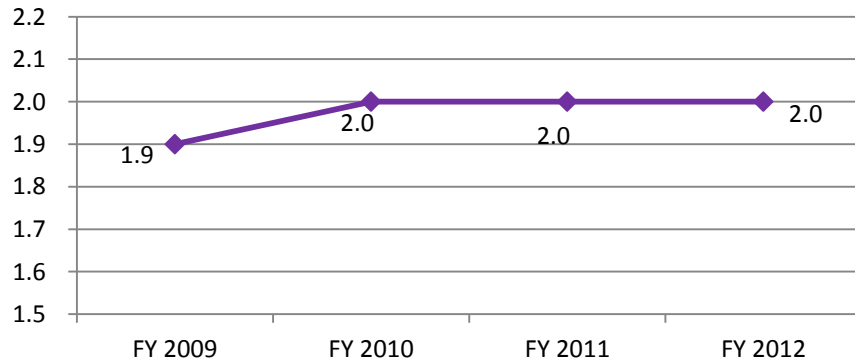
People Served
10.21% Increase



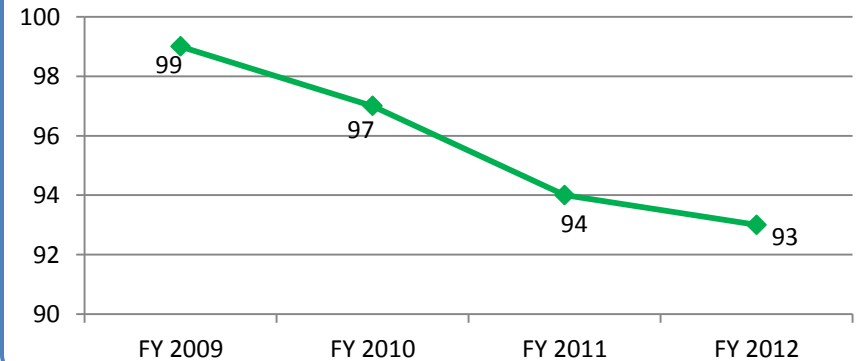
Total Units Provided
13.76% Increase



Units Used / Person / Month
5.26% Increase



Number of Providers
6.06% Decrease



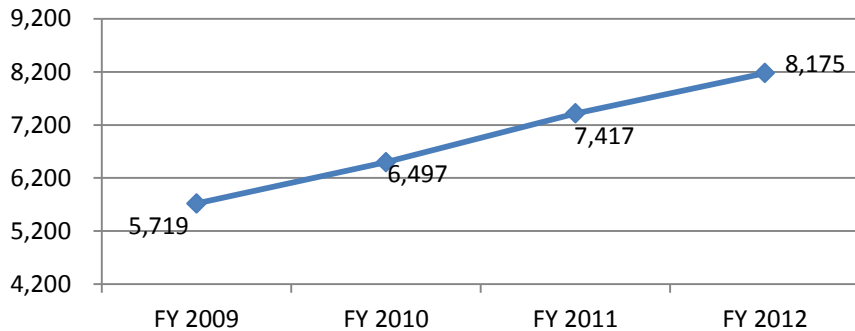
Speech Therapy (SPL/STA)

FY 2009 – FY 2012* Utilization

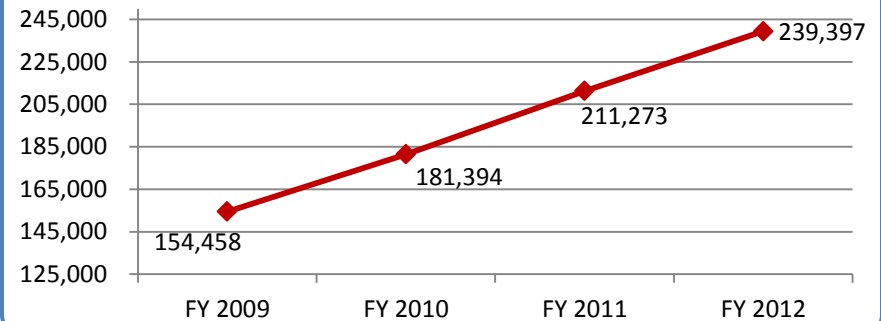
Data Source: Analysis Package (SPEA; pages 14-17)
from Letter Dated 9/19/12 from Burns & Associates, Inc.

Payment data as of August 31, 2012

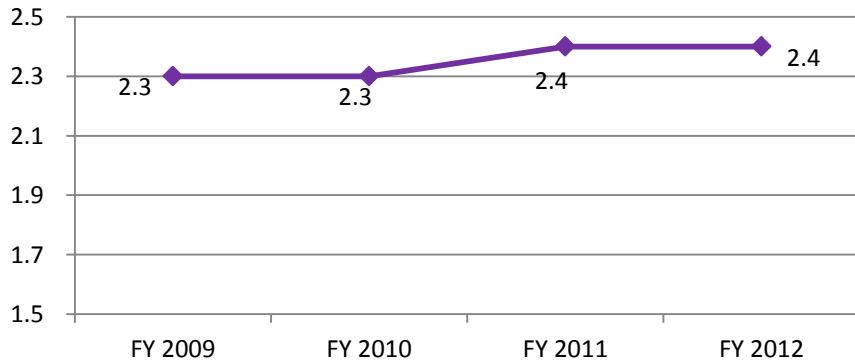
People Served
42.94% Increase



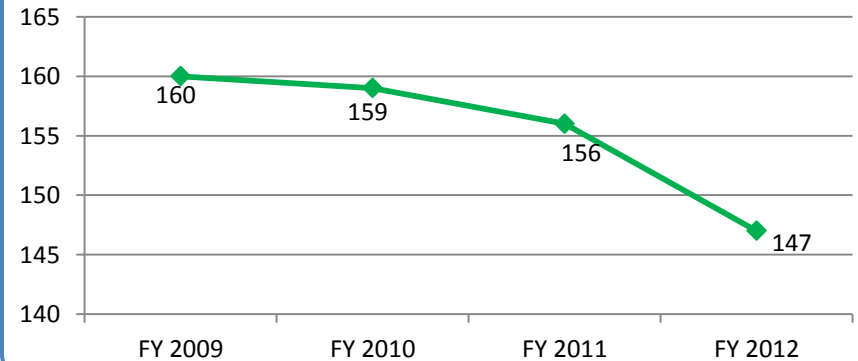
Total Units Provided
54.99% Increase



Units Used / Person / Month
4.35% Increase



Number of Providers
8.13% Decrease

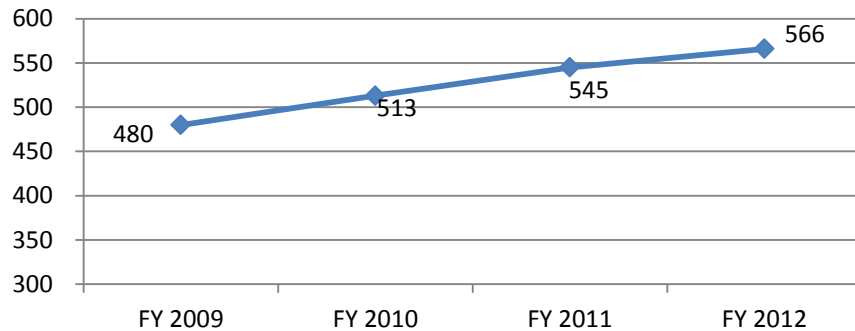


In-Home Nursing, Hourly (HN1) FY 2009 – FY 2012* Utilization

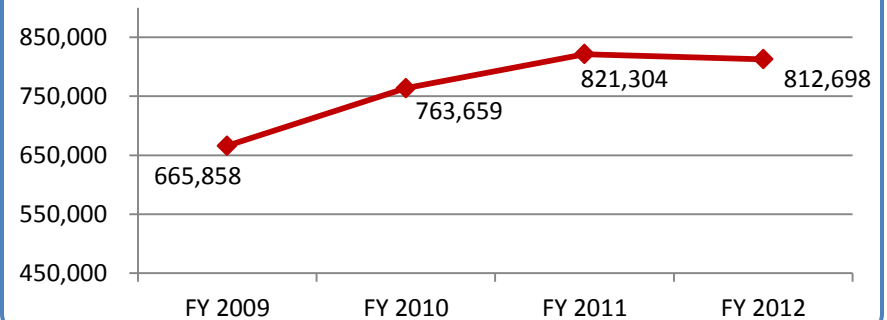
Data Source: Analysis Package (NURS; pages 14-17)
from Letter Dated 9/19/12 from Burns & Associates, Inc.

Payment data as of August 31, 2012

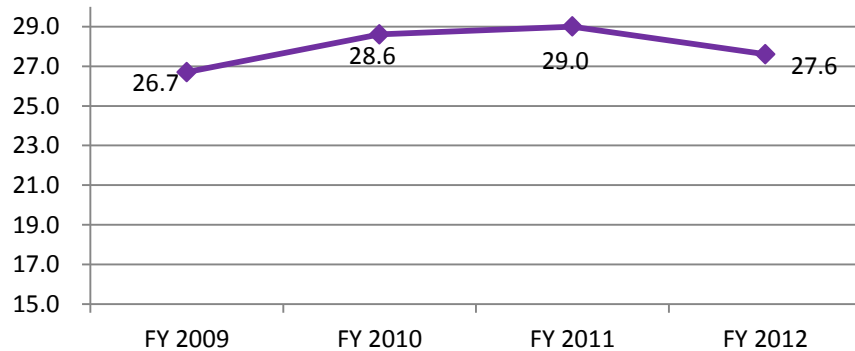
People Served
17.92% Increase



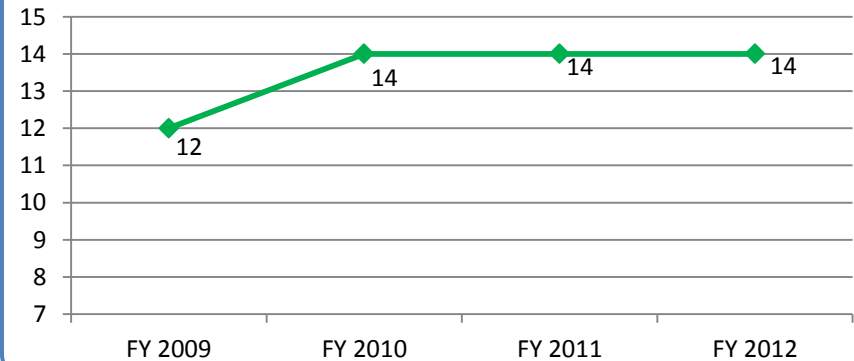
Total Units Provided
22.05% Increase



Units Used / Person / Week
3.37% Increase



Number of Providers
16.67% Increase

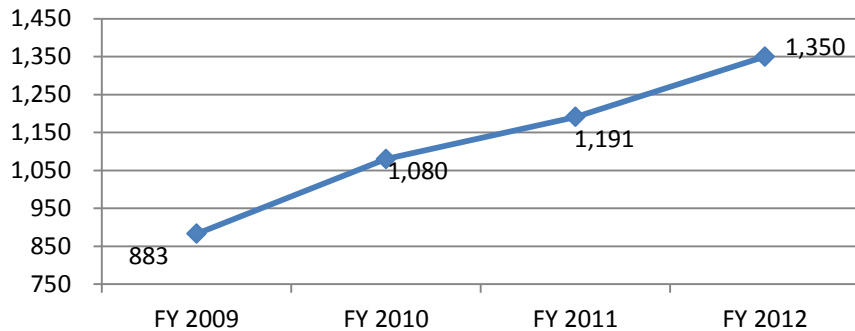


Group Supported Employment (GSE) FY 2009 – FY 2012* Utilization

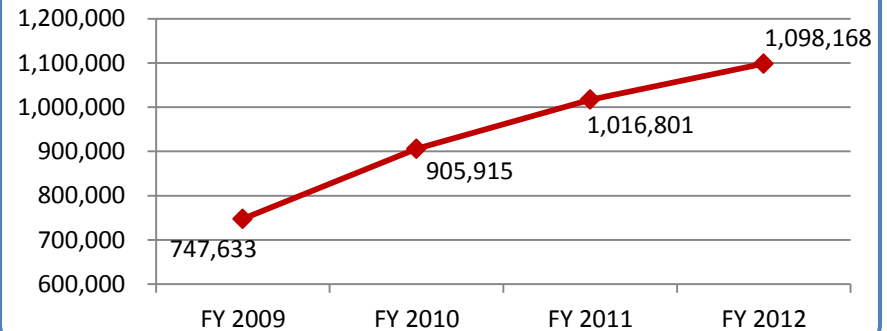
Data Source: Analysis Package (GSEA; pages 14-17)
from Letter Dated 9/19/12 from Burns & Associates, Inc.

Payment data as of August 31, 2012

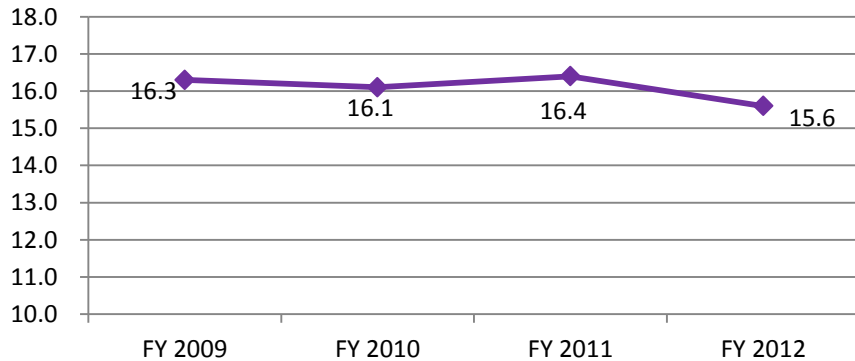
People Served
52.89% Increase



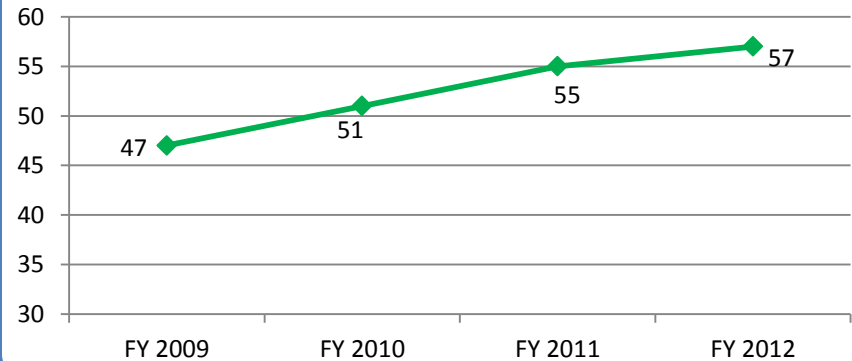
Total Units Provided
46.89% Increase



Units Used / Person / Week
4.29% Decrease



Number of Providers
21.28% Increase

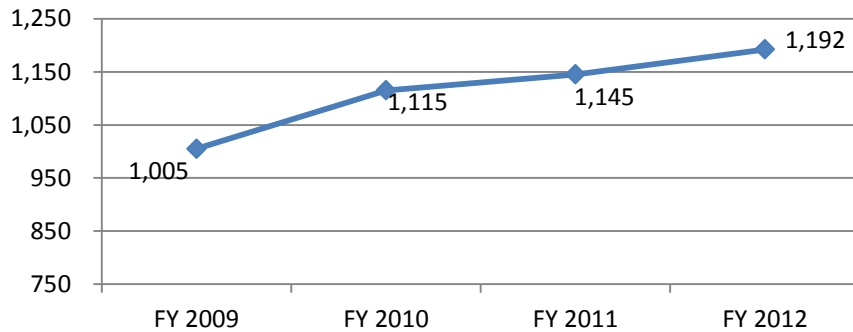


Center Based Employment (CBE) FY 2009 – FY 2012* Utilization

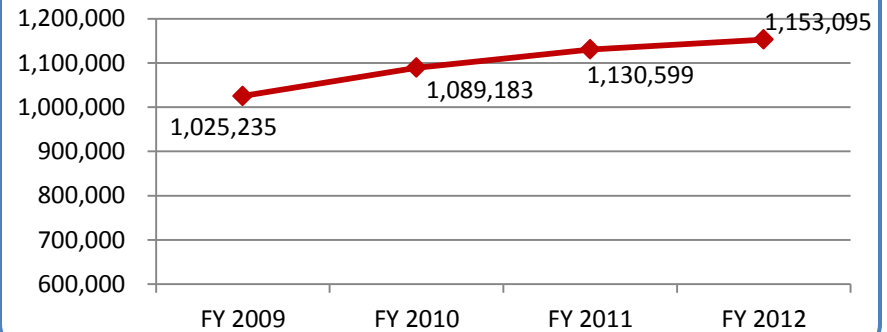
Data Source: Analysis Package (CBEA; pages 14-17)
from Letter Dated 9/19/12 from Burns & Associates, Inc.

Payment data as of August 31, 2012

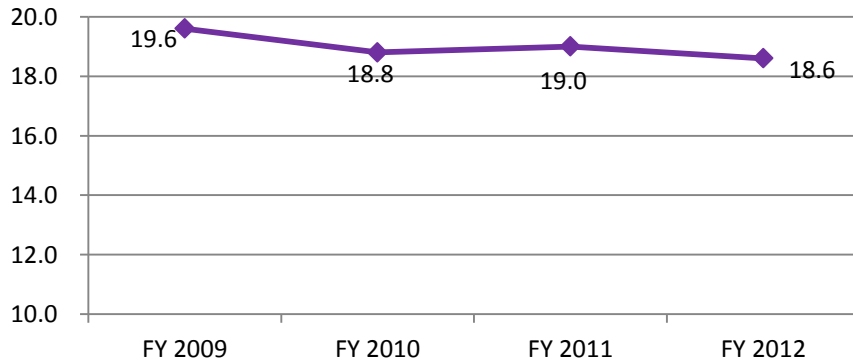
People Served
18.61% Increase



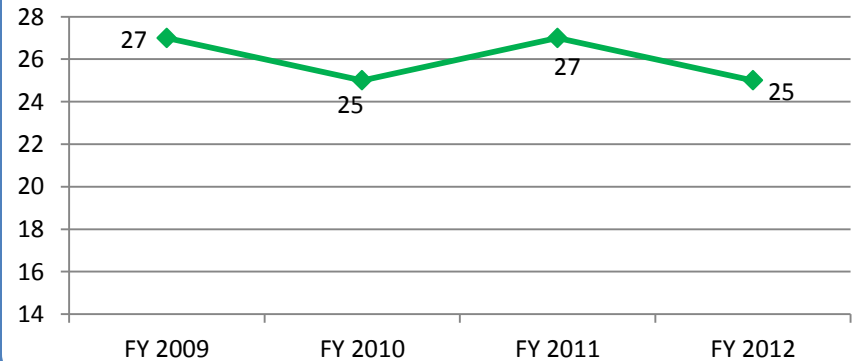
Total Units Provided
12.47% Increase



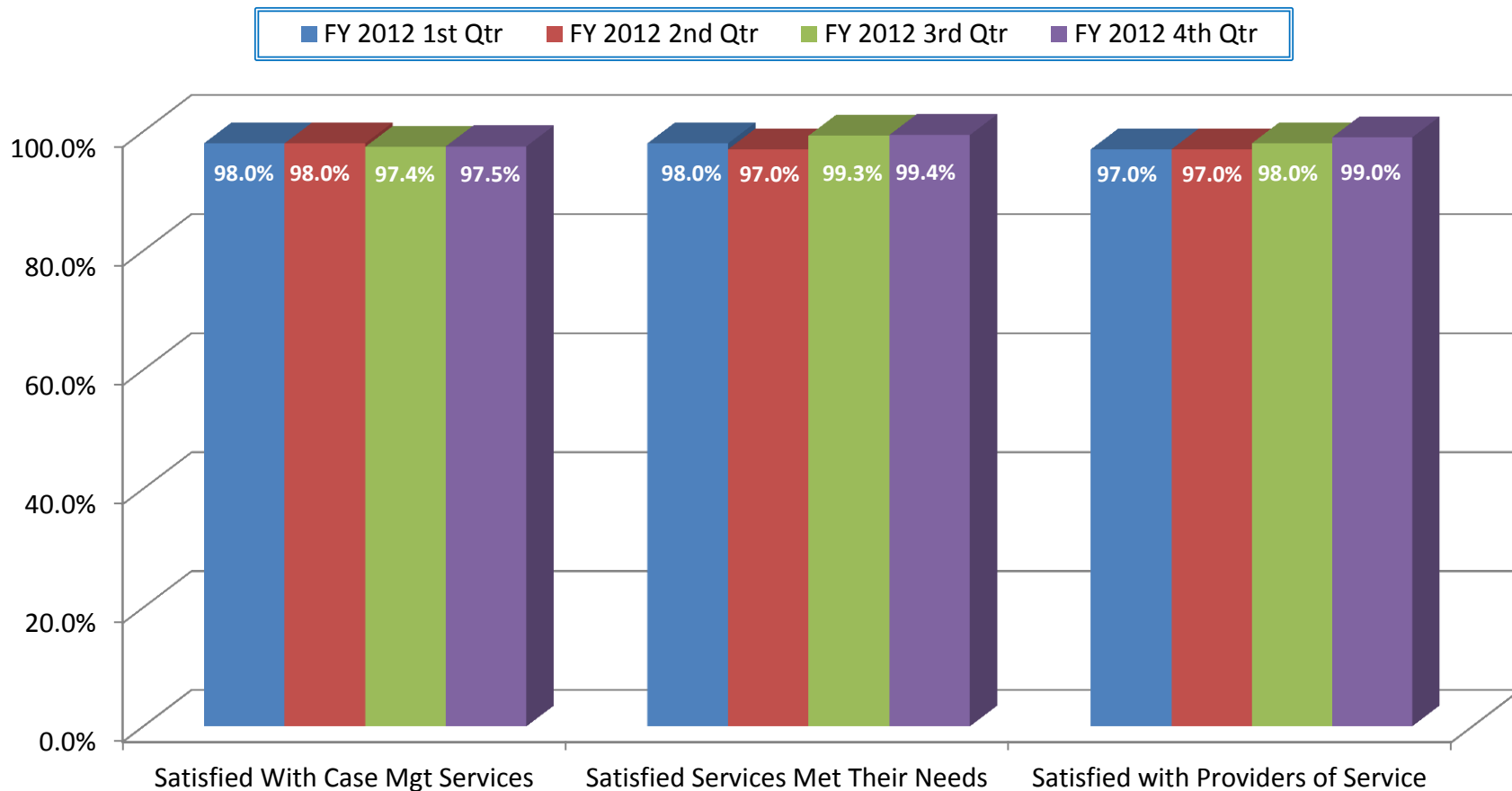
Units Used / Person / Week
5.17% Decrease



Number of Providers
7.41% Decrease



FY 2012 Performance Measures for Customer Satisfaction



How are we doing?

National Comparison

- The Case for Inclusion: 2012 An Analysis of Medicaid for Americans with Intellectual & Developmental Disabilities (<http://www.ucp.org/public-policy/the-case-for-inclusion>)
 - Arizona - Number 1 with a score of 87.6%
 - Five Key Outcomes
 - Promoting Independence - #3 (48.6 out of 50)
 - Tracking Quality & Safety - #2 (11.9 out of 12)
 - Keeping Families Together – #1 (12 out of 12)
 - Promoting Productivity - #44 (2.7 out of 10)
 - Reaching Those in Need - #8 (12.3 out of 16)
 - Arizona Ranked #1 (2007, 2008 & 2010)
- National Core Indicators (<http://www.nationalcoreindicators.org/>)
 - Standard Set of DD Performance Measures Used by States to Manage Quality
- Report of the Sustainability and Innovation Work Group (2010)*
 - Cost Effective Program
 - Arizona 49th in average spending per person of the general population
 - High Ranking for Community Integration
 - Arizona 5th Highest in Serving People in the Community
 - Total Expenditures for Institutions Low
 - Arizona 46th in costs per capita of general population for institutions

**The State of the States in Developmental Disabilities (2008)*

Residential Services for Persons with Developmental Disabilities: Status and Trends Through 2007 (2009)





DEPARTMENT OF ECONOMIC SECURITY

Your Partner For A Stronger Arizona

Thank You!

Crosswalk for FY 2012 ALTCS Provider HCBS Services

(slide 17)

Category of Service (slide 17)	DDD Service Codes	Service Codes from Data Analysis Package (pg 17)
Day Treatment & Training	DTA; TRA	DTAA; DTAI; DTAR; TRND
Employment Programs	CBE; ESA; GSE; ISE; TRE	CBEA; ESAA; GSEA; ISEA; TREA
Children Day & Spl Instruction	DH1; DSI; DTS; DTT	DH1A; DSIA; DTCA
In-Home (Agency)	ATC; HAH; HAI; HID; HSK; HPH; HHA; HN1; HN9; HNR; RSP; RSD	ATTR; HAHR; HAID; HAIR; HSKR; HPHA; HHAA; NURC; NURS; RSDR; RSPR
In-Home (Independent)	ATC; HAH; HSK; RSD; RSP	ATTN; HAHN; HSKN; RSDN; RSPN
Special Habilitation	HAM; HBM; HBB; HCH	HAHM; HBMA; HCHA
Residential	HAB; HBA; HBC; HAN; HPD	HABA; DHVA; DHVC; HANA; HPDA
Residential (Independent)	HAA; HAC	DHSA; DHSC
Therapies	OCL; OCV; OEA; OTA; RP1 PEA; PHL; PHV; PTA SEA; SPL; SPV; STA	OCCA; OCEE; RP1A PHYA; PTEE SPEA; SPEE
Other HCBS	CMG; TRI; TRO; ALC; ALH; ASI; FIM; HIA; MOD	CMGS; TRNI; TRNO; OTHA (adjusted next pg)



OTHA HCBS Calculation

OTHA	\$17,153,156
Less: Provider Institutional	<u>(13,677,992)</u>
HCBS Other	3,475,164
Plus: CMGA; TRNI; TRNO	<u>119,003</u>
Total HCBS Other	\$ 3,594,167

